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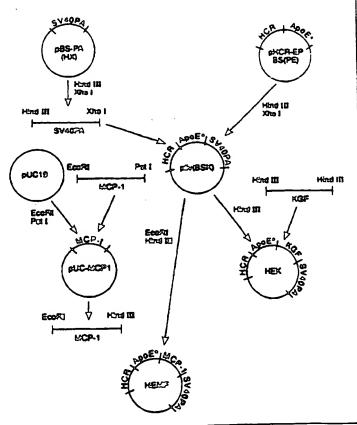
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## (54) Title: MAMMAL WITH ENHANCED LIVER EXPRESSION OF A TRANSGENE

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This invention provides a mammal with enhanced liver expression of a transgene. Also provided are: 1) a nucleic acid sequence useful in enhancing liver specific expression of a transgene, and 2) a vector containing this nucleic acid sequence.



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MAMMAL WITH ENHANCED LIVER EXPRESSION OF A TRANSGENE.

This application is a continuation-in-part of U.S.S.N. 08/141,322 filed October 18, 1993.

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#### BACKGROUND

#### FIELD OF THE INVENTION

This invention relates to the field of recombinant DNA technology, especially to nucleic acid sequences useful for constructing a transgenic mammal. More specifically, the invention concerns expression of a transgene in certain tissues or organs of a mammal.

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## DESCRIPTION OF RELATED ART

## 1. Tissue Specific Expression

20 Production of a transgenic mammal involves the insertion of a nucleic acid sequence, often called a transgene, which codes for a particular polypeptide, into one or more chromosomes of the mammal. This is typically accomplished by inserting the transgene into the pronucleus of an isolated mammalian egg. The transgene becomes incorporated into the DNA of the developing embryo. This embryo is then implanted into a surrogate host for the duration of gestation. The offspring of the surrogate host are evaluated for the presence of the transgene.

Expression of the transgene, i.e., production of the protein encoded by the transgene nucleic acid sequence, may confer a new phenotype on the mammal.

D pending on the transgene(s) inserted into the animal and the level of expression of the transg ne in the mammal, the mammal may become more or 1 ss susceptible

to a particular disease or series of diseases. Such transgenic mammals are valuable for in vivo screening and testing of compounds that may be useful in treating or preventing the disease(s), and/or for developing methods useful in diagnosing the disease.

While methods for insertion of a novel gene into a mammal have developed rapidly, several problems with the application of this technology remain. One such problem concerns limiting expression of the gene primarily to a selected tissue or tissues where expression is desired.

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Enhanced and/or specific expression of a gene in a select tissue or tissues of a mammal is complex. Expression of a gene is typically regulated at least in 15 part by a non-coding nucleic acid sequence termed a promoter. The promoter is often located near or adjacent to the nucleic acid sequence encoding the polypeptide to be expressed. Frequently, the activity of a promoter is in turn regulated by other nucleic acid sequences termed enhancers and suppressors (also known 20 as silencers). Enhancers increase the level of expression of the gene while suppressors or silencers decrease expression. The location of enhancers and suppressors along a nucleic acid sequence with respect to the promoter and coding sequence is quite varied for 25 different genes. Enhancers and suppressors may be located near or adjacent to the promoter, i.e., within about 1 kilobase (kb) along a strand of DNA (chromosome or vector), or may be located at a much greater distance, e.g., up to 50 kb or more away from the 30 promoter on a chromosome and still exert an effect on the activity of the promoter. Further, they may be located upstream (i.e., 5' to the promoter and coding sequence), or downstream (3' to the promoter and coding s quence). Such positioning for promoter activity is a function of both the type of promot r and the type of

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enhancer or suppressor used. To further complicate the regulation, enhancers and suppressors may exert their effect on the promoter of more than one gene within a chromosomal locus.

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Several enhancers and suppressors have been identified. For example, the level of expression of the gene encoding transthyretin is affected by an enhancer element located about 2 kb upstream from the promoter (Yan et al., EMBO J., 9:869-AFM8 [1990]). Liver specific expression of the albumin gene is regulated by an enhancer located about 10 kb upstream of its promoter (Hammer et al., Science, 235:53-58 [1987]). Tissue specific regulation of the alpha-fetoprotein gene involves three enhancer elements located 1 to 7 kb upstream of the transcription start site of the gene (Pinkert et al., Genes & Dev., 1:268-276 [1987]).

Another enhancer is the hepatocyte-specific control region, or "HCR". The human HCR is believed to be about 774 base pairs (bp) in size or less (Simonet et al., J. Biol. Chem., 268: 8221-8229 [1993]), but has 20 recently been reported to be at least somewhat active as a 150 to 154 bp fragment (Breslow, Proc. Natl. Acad. Sci. USA, 90:8314-8318 [1993]; Shacter et al., J. Lipid Res., 34:1699-1707 [1993]). The HCR is located on chromosome 19, about 18 kilobases (kb) downstream of the 25 apolipoprotein E (apoE) promoter/gene sequence, about 9 kilobases downstream of the apolipoprotein C-I (apoC-I) promoter/gene sequence, and about 2 kilobases (kb) upstream of the apolipoprotein C-I (apoC-I') pseudogene sequence (Simonet et al., [1993], supra; Simonet et al., 30 J. Biol. Chem., 266:8651-8654 [1991]; Simonet et al., J. Biol. Chem., 265:10809-10812 [1990]; Taylor et al., Current Opinion in Lipidol., 2:73-80 [1991]). appears to be important in expression of the genes ApoE and ApoC-I in the liver; in its absence, these genes are 35

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not expressed at d tectable levels in this tissue (Simonet et al. [1993], supra).

The effect of the HCR on a heterologous promoter has been evaluated in transgenic mice. The apolipoprotein A-IV promoter and coding sequence were ligated to a 1.7 kb nucleic acid sequence containing the HCR. Transgenic mice containing this construct had high levels of expression of apolipoprotein A-IV in the liver (Simonet et al., supra).

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#### 2. Interleukin-8

The interleukins are a group of naturally occurring proteins that act as chemical mediators of the differentiation processes for red and white blood cells. One of the interleukins, IL-8 (also known as Neutrophil Activating Peptide-1, or NAP-1), has been shown to be a neutrophil chemoattractant with the ability to activate neutrophils and stimulate the respiratory burst (Colditz et al., J. Leukocyte Biol., 48:129-137 [1990]; Leonard et al., J. Invest. Derm., 96:690-694 [1991]). IL-8 has been termed a proinflammatory cytokine due to its involvement in neutrophil recruitment to sites of acute and chronic inflammation.

Zwahlen et al. (Int. Rev. Exp. Path., 34B:22-42 [1993]) describe some effects of IL-8 injected into some rodents. When injected intradermally into rats, IL-8 induced neutrophil infiltration at the site of injection. Intravenous injection of IL-8 into rabbits resulted in neutrophil sequestration in the lungs.

Vogels et al. (Antimicrobial Agents and Chemotherapy, 37:276-280 [1993]) describe the effect of administering IL-8 to mice either before or after infection of the mice with thre different pathogens. Under certain conditi

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shown to have a detrimental effect on the survival of the mice.

Van Zee et al.(J. Immunol., 148:1746-1752 [1992]) describe administration of IL-8 to baboons. The animals developed neutropenia rapidly after IL-8 administration. This neutropenia is transient and is followed by a marked granulocytosis which persists for as long as IL-8 is present in the circulation.

Burrows et al. (Ann. NY Acad. Sci., 629:422-10 424 [1991]) show that guinea pigs injected with IL-8 had a higher level of T-lymphocyte and eosinophil accumulation in the lung than did control animals.

## 3. Keratinocyte Growth Factor

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Keratinocyte growth factor (KGF) is a mitogen that has been identified as specific for epithelial cells, especially keratinocytes (Rubin et al., Proc. Natl. Acad. Sci. USA, 86:802-806 [1989]; Finch et al., Science, 245:752-755 [1990]; Marchese et al., J. Cell Physiol., 144:326-332 [1990]). KGF has shown potential for repair of epidermal tissues such as the skin, and epithelial tissues of the digestive tract. The DNA encoding KGF has been cloned and sequenced (PCT 90/08771, published August 9, 1990).

Guo et al. (EMBO J., 12:973-986 [1993]) have prepared a transgenic mouse containing a transgene constructed of the human keratin 14 promoter and the human keratinocyte growth factor gene. The mouse showed a number of phenotypic differences as compared with non-transgenics such as wrinkled skin and reduced hair follicle density.

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### 4. Monocyte Chemoattractant Protein

Monocyte chemoattractant protein (also known as MCP-1) is a protein that is produced by activated leukocytes in response to certain stimuli. encoding human MCP-1 has been cloned and sequenced (Furutani et al., Biochem. Biophys. Res. Comm., 159:249-255 [1989]; Yoshimura et al., Chemotactic Cytokines, Westwood et al., eds. Plenum Press, NY [1991], pp.47-56). MCP-1 serves to attract monocytes to the site of 10 its release, and is believed to be involved in the cellular immune response and in acute tissue injury (Leonard et al., Immunol. Today, 11:97-101 [1990]). MCP-1 has been shown to be produced by some tumor cells in vitro, and in human metastatic melanomas in vivo 15 (Graves et al., Am J. Pathol., 140:9-14 [1992]).

#### 5. Human Afamin

Afamin ("AFM") is a novel protein recently 20 identified in human serum. AFM has a molecular weight of about 87,000 daltons when run on SDS\_PAGE, and shares significant homology to members of the albumin family of proteins including vitamin D binding protein (VDB), alpha fetoprotein, and albumin. In addition, AFM has 25 the characteristic pattern of disulfide bonds observed in this family. AFM cDNA has been stably transfected into Chinese hamster ovary cells, and recombinant AFM (rAFM) has been purified from the conditioned culture medium of these cells. Both AFM and rAFM react with a 30 polyclonal antibody that was raised against a synthetic peptide derived from the deduced amino acid sequence of There is a need in the art to provide in vivo systems for evaluating the effects of one or more genes on certain diseases. 35

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Accordingly, it is an object of this invention to provide a mammal containing a nucleic acid construct comprising a transgene, and expressing the transgene, where the mammal may be used as an in vivo system to analyze the course of a disease.

It is a further objective to provide a transgene nucleic acid construct and an expression vector that enhance tissue specific expression of a transgene in liver tissue of a transgenic mammal.

Other such objects will readily be apparent to one of ordinary skill in the art.

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#### SUMMARY OF THE INVENTION

In one aspect, the present invention provides 15 a nucleic acid sequence comprising an HCR enhancer operably linked to a promoter and a transgene. The promoter may be selected from the group of promoters consisting of: ApoA-I, ApoA-II, ApoA-III, ApoA-IV, ApoB-48, ApoB-100, ApoC-I, ApoC-II, ApoC-III, ApoE, albumin, 20 alpha feto protein, PEPCK, transthyretin, SV40, CMV, and TK. The transgene may be selected from the group consisting of: interleukin 1, interleukin 2, interleukin 3, interleukin 4, interleukin 5, interleukin 6, interleukin 7, interleukin 8, interleukin 9, interleukin 25 10, interleukin 11, interleukin 12, ENA-78, interferon-CC, interferon-\$\beta\$, interferon-\$\gamma\$, granulocyte-colony stimulating factor, granulocyte-macrophage colony simulating factor, macrophage colony stimulating factor, stem cell factor, keratinocyte growth factor, MCPI, 30 AFM, and TNF, and fragments thereof.

In one other aspect, the invention provides a non-human mammal and its progeny containing a nucleic acid sequence comprising an HCR nhancer operably link d to a promoter and a transgene.

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The invention further provides a non-human transgenic mammal containing nucleic acid sequence comprising an HCR enhancer, the human ApoE promoter, the human ApoE intron 1 linked at its 5' end to the human

ApoE exon 1 and at its 3' end to a portion of the human ApoE exon 2, and at least a portion of the coding sequence of the transgene human IL-8, the transgene KGF, or the transgene AFM.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 depicts the nucleic acid sequence of the 774 base pair human HCR (SEQ ID NO: 1). This sequence was derived from the vector pCI-CI'PX\(\partial B\), deposited with the American Type Culture Collection (ATCC).

Figure 2A-C depict the transgene construct used to generate IL-8, KGF, and MCP-1 transgenic mice.

Vectors are labeled as referenced in the Examples.

Selected restriction enzymes are shown. "ApoE\*" refers to the ApoE promoter, first exon, first intron and a portion of the second intron; "SV40PA" refers to the SV40 polyA+ sequence, as described in the Examples.

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Figure 3 depicts the level of IL-8 and circulating neutrophils in both control and transgenic mice. Figure 3A shows serum IL-8 levels. Figure 3B shows circulating neutrophil levels. NT represents non-transgenic (control) mice. The numbers refer to individual lines of transgenic mice used in the analysis.

Figur 4A-C depicts a nucleic acid molecule

35 (cDNA) of approximately 2.3 kb encoding human AFM (SEQ

ID NO:23). The translated amino acid sequence of AFM is

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also shown (SEQ ID NO:24). The amino acid positions are numbered, with -21 through -1 being the signal peptide sequence, and 1-578 being the mature protein sequence.

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### DETAILED DESCRIPTION OF THE INVENTION

#### Definitions

The term "operably linked" refers to the 10 arrangement of various nucleic acid molecule elements relative to each such that the elements are functionally connected and are able to interact with each other. Such elements may include, without limitation, a promoter, an enhancer, a polyadenylation sequence, one 15 or more introns and/or exons, and a coding sequence of a gene of interest to be expressed (i.e., the transgene). The nucleic acid sequence elements, when properly oriented or operably linked, act together to modulate the activity of one another, and ultimately may affect 20 the level of expression of the transgene. By modulate is meant increasing, decreasing, or maintaining the level of activity of a particular element. The position of each element relative to other elements may be expressed in terms of the 5' terminus and the 3' 25 terminus of each element, and the distance between any particular elements may be referenced by the number of intervening nucleotides, or base pairs, between the elements.

The term "transgene" refers to a particular nucleic acid sequence encoding a polypeptide or a portion of a polypeptide to be expressed in a cell into which the nucleic acid sequence is inserted. The term "transgene" is meant to include (1) a nucleic acid sequence that is not naturally found in the cell (i.e., a heterologous nucleic acid sequence); (2) a nucleic

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acid sequence that is a mutant form of a nucleic acid sequence naturally found in the cell into which it has been inserted; (3) a nucleic acid sequence that serves to add additional copies of the same (i.e., homologous) or a similar nucleic acid sequence naturally occurring in the cell into which it has been inserted; or (4) a silent naturally occurring or homologous nucleic acid sequence whose expression is induced in the cell into which it has been inserted. By "mutant form" is meant a nucleic acid sequence that contains one or more nucleotides that are different from the wild-type or naturally occurring sequence, i.e., the mutant nucleic acid sequence contains one or more nucleotide substitutions, deletions, and/or insertions. In some cases, the transgene may also include a sequence encoding a leader peptide or signal sequence such that the transgene product will be secreted from the cell.

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The term "promoter" refers to a nucleic acid sequence that regulates, either directly or indirectly, 20 the transcription of a corresponding nucleic acid coding sequence to which it is operably linked. The promoter may function alone to regulate transcription, or, in some cases, may act in concert with one or more other regulatory sequences such as an enhancer or silencer to regulate transcription of the transgene.

The term " an HCR enhancer" refers to a noncoding nucleic acid sequence naturally located on human chromosome 19 within or proximal to the apoE/apoC-I gene locus, downstream of the ApoE and ApoC-I promoter/gene sequences, but upstream of the ApoC-I pseudogene sequence. As used herein, an HCR enhancer refers to any nucleic acid sequence of about 774 base pairs, and to fragment(s) thereof that has (have) biological activity. When an HCR enhancer is operably linked t b th a promoter and a transgene, the HCR nhancer can (1) confer a significant degree of liver specific expression

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of the transgene, and/or (2) can increase the level of expression of the transgene in the liver.

The term "rodent" refers to all members of the phylogenetic order Rodentia, such as, for example, mouse, rat, hamster, squirrel, or beaver.

The term "progeny" refers to all offspring of the transgenic mammal, and includes every generation subsequent to the originally transformed transgenic mammal.

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### Preparation of the Invention

#### 1. Preparation of DNA Constructs

### A. Selection of Transgene

This invention contemplates expression of one or more transgenes primarily in the liver and/or the gastro-intestinal tissue of a transgenic mammal. Where the transgene is expressed primarily in the liver, the gene product may be secreted into the bloodstream after synthesis. Thus, included within the scope of this invention is any transgene encoding a polypeptide to be circulated in the blood. Typically, the transgene will be a nucleic acid molecule encoding a polypeptide involved in the immune response, hematopoiesis, inflammation, cell growth and proliferation, cell lineage differentiation, and/or the stress response. The transgene may be homologous or heterologous to the promoter and/or to the mammal. In addition, the transgene may be a full length cDNA or genomic DNA sequence, or any fragment, subunit or mutant thereof that has at least some biological activity. Optionally, the transgene may be a hybrid nucleic acid sequence, i.e., one constructed from homologous and/or heterologous cDNA and/or genomic DNA fragments. The

transgene may also optionally be a mutant of one or more naturally occurring cDNA and/or genomic sequences.

The transgene may be isolated and obtained in suitable quantity using one or more methods that are well known in the art. These methods and others useful for isolating a transgene are set forth, for example, in Sambrook et al. (Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY [1989]) and in Berger and Kimmel (Methods in Enzymology: Guide to Molecular Cloning Techniques, vol. 152, Academic Press, Inc., San Diego, CA [19AFM]).

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Where the nucleic acid sequence of the transgene is known, the transgene may be synthesized, in whole or in part, using chemical synthesis methods such as those described in Engels et al. (Angew. Chem. Int. Ed. Engl., 28:716-734 [1989]). These methods include, inter alia, the phosphotriester, phosphoramidite and H-phosphonate methods of nucleic acid synthesis.

Alternatively, the transgene may be obtained by screening an appropriate cDNA or genomic library using one or more nucleic acid probes (oligonucleotides, cDNA or genomic DNA fragments with an acceptable level of homology to the transgene to be cloned, and the like) that will hybridize selectively with the transgene DNA.

Another suitable method for obtaining a transgene is the polymerase chain reaction (PCR). However, successful use of this method requires that enough information about the nucleic acid sequence of the transgene is known so as to design suitable oligonucleotide primers useful for amplification of the appropriate nucleic acid sequence.

Where the method of choice requires the use of oligonucleotide primers or probes (e.g. PCR, cDNA or genomic library screening), the oligonucleotide sequences selected as probes or primers should be of adequate length and sufficiently unambiguous so as to

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minimize the amount of non-specific binding that will occur during library screening or PCR. The actual sequence of the probes or primers is usually based on conserved or highly homologous sequences or regions from the same or a similar gene from another organism.

Optionally, the probes or primers can be degenerate.

In cases where only the amino acid sequence of the transgene is known, a probable and functional nucleic acid sequence may be inferred for the transgene using known and preferred codons for each amino acid residue. This sequence can then be chemically synthesized.

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This invention contemplates the use of transgene mutant sequences. A mutant transgene is a transgene containing one or more nucleotide substitutions, deletions, and/or insertions as compared to the wild type sequence. The nucleotide substitution, deletion, and/or insertion can give rise to a gene product (i.e., protein) that is different in its amino acid sequence from the wild type amino acid sequence. Preparation of such mutants is well known in the art, and is described for example in Wells et al. (Gene, 34:315 [1985]), and in Sambrook et al, supra.

Preferred transgenes of the present invention are erythropoietin (EPO), interleukin 1 (IL-1), 25 interleukin 2 (IL-2), interleukin 3 (IL-3), interleukin 4 (IL-4), interleukin 5 (IL-5), interleukin 6 (IL-6), interleukin 7 (IL-7), interleukin 8 (IL-8), interleukin 9 (IL-9), interleukin 10 (IL-10), interleukin 11 (IL-11), interleukin 12 (IL-12), ENA-78 (Walz et al., 30 J. Exp. Med., 174:1355-1362 [1991]; Strieter et al., Immunol. Invest., 21:589-596 [1992]), interferon-a, interferon- $\beta$ , interferon- $\gamma$ , granulocyte-colony stimulating factor (G-CSF), granulocyte-macrophage colony stimulating fact r (GM-CSF), macrophage colony 35 stimulating factor (M-CSF), stem cell factor (SCF),

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keratinocyte growth factor (KGF), monocyte chemoattractant protein-1 (MCP-1; Furutani et al., supra), tumor necrosis factor (TNF), AFM, and fragments, subunits or mutants thereof. More preferred transgenes include erythropoietin, interleukin 8, MCP-1, keratinocyte growth factor, AFM, and ENA-78. The most preferred transgenes include human interleukin 8, human keratinocyte growth factor, AFM, and MCP-1.

## B. Selection of Regulatory Elements

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This invention contemplates the use of promoters that are regulated at least in part by an HCR enhancer which results in increased liver expression of the transgene.

The promoter may be homologous (i.e., from the same species as the mammal to be transfected with the transgene) or heterologous (i.e., from a source other than the species of the mammal to be transfected with 20 the transgene). As such, the source of the promoter may be any unicellular prokaryotic or eukaryotic organism, any vertebrate or invertebrate organism, or any plant, provided that the promoter is functional in combination with an HCR enhancer. The more preferred promoters of this invention are the ApoA-I promoter, the ApoA-II promoter, the ApoA-IV promoter, the ApoB promoter, the ApoC-I promoter, the ApoC-II promoter, the ApoC-III promoter, the ApoE promoter, the albumin promoter, the alpha feto protein promoter, the PEPCK (phosphoenol pyruvate carboxykinase) promoter (EP 365,591, published May 2, 1990), the transthyretin promoter, the SV40 promoter, the CMV promoter, and the TK (thymidine kinase) promoter. The most preferred promoters of this group are ApoE, ApoC-I, and ApoA-IV. The most preferred 35 promoters are human ApoE and human ApoC-I.

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The preferred HCR enhancer element contemplated herein is a non-coding DNA sequence located on human chromosome 19 within, or proximal to, the apoE/apoC-I gene locus, downstream of the ApoE and ApoC-I genes, but upstream of the ApoC-I pseudogene. The approximately 774 base pair HCR has been deposited under the Budapest Treaty with the American Type Culture Collection (ATCC; 12301 Parklawn Drive, Rockville, MD 20852) as accession number 69422. The date of deposit is September 17, 1993. Fragments of this HCR sequence are also contemplated herein, provided that the fragment has the property of modulating expression of a transgene in the liver (i.e., is biologically active).

The promoter sequences of this invention may be obtained by any of several methods well known in the art. Typically, promoters useful herein will have been previously identified by mapping and/or by restriction endonuclease digestion and can thus be isolated from the proper tissue source using the appropriate restriction endonucleases. In some cases, the promoter may have been sequenced. For those promoters whose DNA sequence is known, the promoter may be synthesized using the methods described above for transgene synthesis.

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Where all or only portions of the promoter sequence are known, the promoter may be obtained using PCR and/or by screening a genomic library with suitable oligonucleotide and/or promoter sequence fragments from the same or another species.

Where the promoter sequence is not known, a fragment of DNA containing the promoter may be isolated from a larger piece of DNA that may contain, for example, a coding sequence or even another gene or genes. Isolation may be accomplished by restriction endonuclease digestion using one or more carefully selected enzymes to isolate the proper DNA fragment. After digestion, the desired fragment is isolated by

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agarose gel purification, Qiagen column or other methods known to the skilled artisan. Selection of suitable enzymes to accomplish this purpose will be readily apparent to one of ordinary skill in the art.

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## C. Selection of Other Vector Components

In addition to the transgene, the promoter, and the HCR enhancer, the vectors useful in this invention typically contain one or more other elements useful for (1) optimal functioning of the vector in the mammal into which the vector is transfected, amplification of the vector in bacterial or mammalian host cells. Each of these elements will be positioned appropriately in the vector with respect to each other 15 element so as to maximize their respective activities. Such positioning is well known to the ordinary skilled artisan. The following elements may be optionally included in the vector as appropriate.

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## i. Signal Sequence Element

For those embodiments of the invention where the transgene is to be secreted, a signal sequence, is 25 frequently present to direct the polypeptide encoded by the transgene out of the cell where it is synthesized. Typically, the signal sequence is positioned in the coding region of the transgene towards or at the 5' end of the coding region. Many signal sequences have been 30 identified, and any of them that are functional in the transgenic tissue may be used in conjunction with the transgene. Therefore, the signal sequence may be homologous or heterologous to the transgene, and may be homologous or heterologous to the transgenic mammal. 35 Additionally, the signal sequence may be chemically

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synthesized using methods set forth above. However, for purposes herein, preferred signal sequences are those that occur naturally with the transgene (i.e., are homologous to the transgene).

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## ii. Membrane Anchoring Domain Element

In some cases, it may be desirable to have a transgene expressed on the surface of a particular intracellular membrane or on the plasma membrane. 10 Naturally occurring membrane proteins contain, as part of the translated polypeptide, a stretch of amino acids that serve to anchor the protein to the membrane. However, for proteins that are not naturally found on 15 the membrane, such a stretch of amino acids may be added to confer this feature. Frequently, the anchor domain will be an internal portion of the protein and thus will be engineered internally into the transgene. However, in other cases, the anchor region may be attached to the 5' or 3' end of the transgene. Here, the anchor domain 20 may first be placed into the vector in the appropriate position as a separate component from the transgene. As for the signal sequence, the anchor domain may be from any source and thus may be homologous or heterologous with respect to both the transgene and the transgenic 25 mammal. Alternatively, the anchor domain may be chemically synthesized using methods set forth above.

### iii. Origin of Replication Element

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This component is typically a part of prokaryotic expression vectors purchased commercially, and aids in the amplification of the vector in a host cell. If the vector of choice does not contain an origin of replicatin site, one may be chemically

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synthesized based on a known sequence, and ligated into the vector.

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## iv. Transcription Termination Element

This element is typically located 3' to the transgene coding sequence and serves to terminate 10 transcription of the transgene. Usually, the transcription termination element is a polyadenylation signal sequence. While the element is easily cloned from a library or even purchased commercially as part of a vector, it can also be readily synthesized using 15 methods for nucleic acid synthesis such as those described above.

#### v. Intron Element

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In many cases, transcription of the transgene is increased by the presence of one or more introns on the vector. The intron may be naturally occurring within the transgene sequence, especially where the transgene is a full length or a fragment of a genomic DNA sequence. Where the intron is not naturally occurring within the DNA sequence (as for most cDNAs), the intron(s) may be obtained from another source. The intron may be homologous or heterologous to the transgene and/or to the transgenic mammal. The position of the intron with respect to the promoter and the transgene is important, as the intron must be transcribed to be effective. As such, where the transgene is a cDNA sequence, the preferred position for 35 the intron is 3° to the transcription start site, and 5° to the polyA transcription termination s quence.

Preferably for cDNA transgenes, the intron will be located on one side or the other (i.e., 5' or 3') of the transgene sequence such that it does not interrupt the transgene sequence. Any intron from any source, including any viral, prokaryotic and eukaryotic (plant or animal) organisms, may be used to practice this invention, provided that it is compatible with the host cell(s) into which it is inserted. Also included herein are synthetic introns. Optionally, more than one intron may be used in the vector. A preferred intron is intron 1 of the human ApoE gene.

### vi. Selectable Marker(s) Element

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15 Selectable marker genes encode proteins
necessary for the survival and growth of transfected
cells grown in a selective culture medium. Typical
selection marker genes encode proteins that (a) confer
resistance to antibiotics or other toxins, e.g.,
20 ampicillin, tetracycline, or kanomycin for prokaryotic
host cells, and neomycin, hygromycin, or methotrexate
for mammalian cells; (b) complement auxotrophic
deficiencies of the cell; or (c) supply critical
nutrients not available from complex media, e.g., the
gene encoding D-alanine racemase for cultures of
Bacilli.

all of the elements set forth above, as well as others useful in this invention, are well known to the skilled artisan and are described, for example, in Sambrook et al. (Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY [1989]) and Berger et al., eds. (Guide to Molecular Cloning Techniques, Academic Press, Inc., San Diego, CA [19AFM]).

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#### D. Construction of Vectors

The vectors most useful in practicing this invention are those that are compatible with prokaryotic cell hosts. However, eukaryotic cell hosts, and vectors compatible with these cells, are within the scope of the invention.

In certain cases, some of the various vector elements may be already present in commercially available vectors such as pUC18, pUC19, pBR322, the pGEM vectors (Promega Corp, Madison, WI), the pBluescript vectors such as pBIISK+/- (Stratagene Corp., La Jolla, CA), and the like, all of which are suitable for prokaryotic cell hosts.

15 However, where one or more of the elements are not already present in the vector to be used, they may be individually obtained and ligated into the vector.

Methods used for obtaining each of the elements are well known to the skilled artisan and are comparable to the methods set forth above for obtaining a transgene (i.e., synthesis of the DNA, library screening, and the like).

preferred vectors of this invention are the pGEM and the pBluescript<sup>®</sup> vectors. The most preferred vector is pBIISK+.

Vectors used for amplification of the transgene and/or for transfection of the mammalian embryos are constructed using methods well known in the art. Such methods include, for example, the standard techniques of restriction endonuclease digestion,

ligation, agarose and acrylamide gel purification of DNA and/or RNA, column chromatography purification of DNA and/or RNA, phenol/chloroform extraction of DNA, DNA sequencing, polymerase chain reaction amplification, and the like, as set forth in Sambrook et al., supra.

35 The final vector used to practice this invention is typically construct d from a starting

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vector such as a commercially available vector. This vector may or may not contain some of the elements to be included in the completed vector. If none of the desired elements are present in the starting vector, each element may be individually ligated into the vector by cutting the vector with the appropriate restriction endonuclease(s) such that the ends of the element to be ligated in and the ends of the vector are compatible for ligation. In some cases, it may be necessary to "blunt" the ends to be ligated together in order to obtain a 10 satisfactory ligation. Blunting is accomplished by first filling in "sticky ends" using Klenow DNA polymerase or T4 DNA polymerase in the presence of all four nucleotides. This procedure is well known in the art and is described for example in Sambrook et al., 15 supra.

Alternatively, two or more of the elements to be inserted into the vector may first be ligated together (if they are to be positioned adjacent to each other) and then ligated into the vector.

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One other method for constructing the vector to conduct all ligations of the various elements simultaneously in one reaction mixture. Here, many nonsense or nonfunctional vectors will be generated due to improper ligation or insertion of the elements, however the functional vector may be identified and selected by restriction endonuclease digestion.

After the vector has been constructed, it may be transfected into a prokaryotic host cell for amplification. Cells typically used for amplification are *E coli* DH5-alpha (Gibco/BRL, Grand Island, NY) and other *E. coli* strains with characteristics similar to DH5-alpha.

Where mammalian host cells are used, cell

35 lines such as Chinese hamster ovary (CHO cells; Urlab et al., Proc. Natl. Acad. Sci USA, 77:4216 [1980])) and

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human embryonic kidney cell line 293 (Graham et al., J. Gen. Virol., 36:59 [1977]), as well as other lines, are suitable.

Transfection of the vector into the selected

bost cell line accomplished using such methods as calcium phosphate, electroporation, microinjection, lipofection or DEAE-dextran method. The method selected will in part be a function of the type of host cell to be transfected. These methods and other suitable methods are well known to the skilled artisan, and are set forth in Sambrook et al., supra.

After culturing the cells long enough for the vector to be sufficiently amplified (usually overnight for E. coli cells), the vector (often termed plasmid at this stage) is isolated from the cells and purified. Typically, the cells are lysed and the plasmid is extracted from other cell contents. Methods suitable for plasmid purification include inter alia, the alkaline lysis mini-prep method (Sambrook et al., supra).

## E. Preparation of Plasmid For Insertion into the Embryo

transgene is linearized using a selected restriction endonuclease prior to insertion into the embryo. In some cases, it may be preferable to isolate the transgene, promoter, and regulatory elements as a linear fragment from the other portions of the vector, thereby injecting only a linear nucleic acid sequence containing the transgene, promoter, intron (if one is to be used), enhancer, polyA sequence, and optionally a signal sequence or membrane anchoring domain into the embryo. This may be accomplished by cutting the plasmid so as to remove the nucleic acid sequence region containing these

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elements, and purifying this region using agarose gel electrophoresis or other suitable purification methods.

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## 2. Production of Transgenic Mammals

Transgenic mammals may be prepared using

10 methods well known to the skilled artisan. For example, to prepare transgenic rodents such as mice, methods such as those set forth by Hogan et al., eds. (Manipulating The Mouse Embryo: A Laboratory Manual, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York

15 [1986]) may be employed.

The specific line(s) of any mammalian species used to practice this invention are selected for general good health, good embryo yields, good pronuclear visibility in the embryos, and good reproductive

fitness. For example, when transgenic mice are to be produced, lines such as C57/BL6 x DBA2 F1 cross, or FVB lines are often used (obtained commercially from Charles River Labs, Boston, MA). The line(s) used to practice this invention may themselves be transgenics, and/or may be knockouts (i.e., mammals which have one or more genes partially or completely suppressed).

The age of the mammals that are used to obtain embryos and to serve as surrogate hosts is a function of the species used, but is readily determined by one of ordinary skill in the art. For example, when mice are used, pre-puberal females are preferred, as they yield more embryos and respond better to hormone injections.

Similarly, the male mammal to be used as a stud will normally be selected by age of sexual maturity, among other criteria.

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Administration of hormones or oth r chemical compounds may be necessary to prepare the female for egg production, mating, and/or reimplantation of embryos. The type of hormones/cofactors and the quantity used, as well as the timing of administration of the hormones will vary for each species of mammal. Such considerations will be readily apparent to one of ordinary skill in the art

Typically, a primed female (i.e., one that is producing eggs that can be fertilized) is mated with a stud male, and the resulting fertilized embryos are then removed for introduction of the transgene(s).

Alternatively, eggs and sperm may be obtained from suitable females and males and used for in vitro fertilization to produce an embryo suitable for introduction of the transgene.

Normally, fertilized embryos are incubated in suitable media until the pronuclei appear. At about this time, exogenous nucleic acid comprising the transgene of interest is introduced into the female or male pronucleus. In some species such as mice, the male pronucleus is preferred.

Introduction of nucleic acid may be accomplished by any means known in the art such as, for example, microinjection, electroporation, or lipofection. Following introduction of the transgene nucleic acid sequence into the embryo, the embryo may be incubated in vitro for varying amounts of time, or reimplanted into the surrogate host, or both. In vitro incubation to maturity is within the scope of this invention. One common method is to incubate the embryos in vitro for about 1-7 days, depending on the species, and then reimplant them into the surrogate host.

Reimplantation is accomplished using standard 35 methods. Usually, the surrogate host is anesthetized, and the embryos are inserted into th viduct. The

number of embryos implanted into a particular host will vary by species, but will usually be comparable to the number of offspring the species naturally produces.

Transgenic offspring of the surrogate host may be screened for the presence and/or expression of the transgene by any suitable method. Screening is often accomplished by Southern blot or Northern blot analysis, using a probe that is complementary to at least a portion of the transgene. Western blot analysis using an antibody against the protein encoded by the transgene may be employed as an alternative or additional method for screening for the presence of the transgene product. Typically, DNA is prepared from tail tissue (about 1 cm is removed from the tip of the tail) and analyzed by Southern analysis or PCR for the transgene. Alternatively, the tissues or cells believed to express the transgene at the highest levels are tested for the presence and expression of the transgene using Southern analysis or PCR, although any tissues or cell types may be used for this analysis.

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Alternative or additional methods for evaluating the presence of the transgene include, without limitation, suitable biochemical assays such as enzyme and/or immunological assays, histological stains for particular markers or enzyme activities, and the like. Analysis of the blood may also be useful to detect the presence of the transgene product in the blood, as well as to evaluate the effect of the transgene on the levels of various types of blood cells and other blood constituents.

Progeny of the transgenic mammals may be obtained by mating the transgenic mammal with a suitable partner, or by in vitro fertilization of eggs and/or sperm obtained from the transgenic mammal. Where mating with a partner is to be performed, the partner may or may not be transgenic and/or a knockout; where it is

transgenic, it may contain the same or a different transgene, or both. Alternatively, the partner may be a parental line. Where in vitro fertilization is used, the fertilized embryo may be implanted into a surrogate host or incubated in vitro, or both. Using either method, the progeny may be evaluated for the presence of the transgene using methods described above, or other appropriate methods.

The transgenic mammals of this invention may

10 be used to generate one or more cell lines. Such cell

lines have many uses, as for example, to evaluate the

effect(s) of the transgene on a particular tissue or

organ, and to screen compounds that may affect the level

of activity of the transgene in the tissue. Such

15 compounds may be useful as therapeutics to modulate the

activity of the transgene.

production of cell lines may be accomplished using a variety of methods, known to the skilled artisan. The actual culturing conditions will depend on the tissue and type of cells to be cultured. Various media containing different concentrations of macro and micro nutrients, growth factors, serum, and the like, can be tested on the cells without undue experimentation to determine the optimal conditions for growth and proliferation of the cells. Similarly, other culturing conditions such as cell density, media temperature, and carbon dioxide concentrations in the incubator can also readily be evaluated.

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The transformed mammals, their progeny, and
transgenic cell lines of the present invention provide
several important uses that will be readily apparent to
one of ordinary skill in the art. The mammals and cell
lines are particularly useful for (a) providing and
evaluating the potential of treatments (such as gene
therapy) for a variety of conditions and diseases,
and/or (b) screening compounds that have potential as

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prophylactics or therapeutics. Such uses may be found for (1) conditions caused by inflammation, (2) immune system disorders, (3) epithelial cell repair (skin, lung and/or intestinal epithelia), (4) hematopoiesis, and/or (5) disorders caused by various physical and/or mental stresses. For example, transgenic mammals or cell lines containing the transgene for IL-8 will be useful for identifying compounds that modulate neutrophil migration; transgenic mammals containing the transgene KGF will be useful for evaluating epithelial tissue repair, and identifying compounds that affect this process.

In the case of transgenic mammals, screening of candidate compounds is conducted by administering the compound(s) to be tested to the mammal, over a range of doses, and evaluating the mammal's physiological response to the compound(s) over time. Administration may be by any appropriate means such as, for example, oral administration, or administration by injection, implantation, or transdermal delivery, depending on the chemical nature of the compound being evaluated. In some cases, it may be appropriate to administer the compound in conjunction with other compounds or cofactors that might enhance the efficacy of the compound.

In screening cell lines for compounds useful in treating the above mentioned problems, the compound is added to the cell culture medium at the appropriate time, and the cellular response to the compound is evaluated over time using the appropriate biochemical and/or histological assays. In some cases, it may be appropriate to apply the compound of interest to the culture medium in conjunction with other compounds or co-factors that might enhance the efficacy of the compound.

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The invention will be more fully understood by reference to the following examples. They should not be construed in any way as limiting the scope of the present invention.

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#### **EXAMPLES**

## 10 Example 1: Preparation of a HCR-II-8 Transgenic Mouse

## A. Construction of Transgene and Vectors

A diagram depicting the overall cloning strategy used herein is set forth in Figure 2.

A PstI-XbaI DNA fragment of about 774 base pairs (containing HCR sequence) obtained from the human apoC-I/C-I' intergenic region on chromosome 19 (Simonet et al.[1993], supra) was subcloned into the PstI-XbaI sites of pUC19 (New England Biolabs, Beverly, MA). The resulting plasmid was designated pCI-CI'PX(8. This plasmid has been deposited on September 17, 1993 with the ATCC as accession number 69422.

An approximately 1.45 kb Rpn-I fragment containing a contiguous piece of DNA consisting of 650 bp of the human ApoE gene 5°-flanking sequence, the first exon, first intron and a portion of the second exon of the ApoE gene was excised from the vector pHE54 (Simonet et al., [1993], supra). This fragment of about 1.45 kb was inserted by ligation into the Rpn-I cloning site of pCI-CI'PX(8. After ligation, the plasmid was transfected into E coli strain DH5-alpha (Gibco/BRL, Grand Island, NY). The cells were plated out on standard LB (Luria broth) or TB (Terrific broth) plus ampicillin medium (Sambrook et al., supra) on agarose plates, and grown up overnight at 37°C.

Colonies were then selected and grown up overnight in standard LB medium in the presence of ampicillin for amplification. After amplification, plasmid DNA from each amplified colony was prepared using the standard alkaline lysis miniprep method (Sambrook et al., supra), and the plasmid DNA was purified using a Qiagen column (Qiagen Corp., Chatsworth, CA). Purified plasmid was then digested with the restriction endonuclease BamHI and analyzed by agarose gel electrophoresis. Of 18 colonies analyzed, 6 were found to have a single insert ligated in the desired orientation. The resulting construct containing the HCR upstream of the ApoE promoter and exon/intron sequence was designated pHCR-HEP.

The approximately 2.2 kb HCR enhancer-promoter-intron cassette was excised from pHCR-HEP as either a PstI-EcoRI fragment or a HindIII-EcoRI fragment. Each of these fragments were ligated into pBIISK+ (Stratagene Corp., La Jolla, CA) to generate the plasmids pHCR-HEP BS (PE) (PstI-EcoRI fragment) and pHCR-HEP BS (HE) (HindIII-EcoRI fragment).

The eukaryotic expression vector V19-10 was used as a template for amplification of the SV40 polyA+ signal. This vector was constructed by inserting a 592 base pair AatII/ClaI fragment containing the origin of replication sequence from bacteriophage M13 into the eukaryotic expression vector V19-8 (described in WO 91/05795, published May 2, 1991). The 242 base pair polyA+ sequence from V19-10 was amplified as a NotI-SacII fragment or a HindIII-XhoI fragment using PCR. The primers used for PCR amplification were:

### NotI-SacII fragment:

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35 Primer 1: CTCTAGAAAGCTTAATTCAGTC (SEQ ID NO: 2)

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Primer 2: TCCCCGCGGGGAAGAGCGCAGAGCTCGG (SEQ ID NO: 3)

Thirty cycles of amplification were conducted as follows: Denaturation was at 94°C for 30 seconds; annealing was at 56°C for 30 seconds; and extension was at 72°C for 30 seconds.

#### HindIII-XhoI fragment:

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10 Primer 3: CTCTAGAAAGCTTAATTCAGTC (SEQ ID NO: 4)

Primer 4: CTGGATCTCGAGGTACCCGGGGATCATAATC (SEQ ID NO: 5)

Thirty cycles of amplification were conducted as follows: Denaturation was at 94°C for 30 seconds; annealing was at 57°C for 30 seconds; and extension was at 72°C for 30 seconds.

The PCR fragments were sequenced and showed 100% homology to the template. The fragments were then subcloned into NotI-SacII cut or HindIII-XhoI cut pBIISK+, to generate the plasmids pBS-PA (NS) and pBS-PA (HX), respectively.

The human IL-8 cDNA was obtained by screening a human peripheral blood lymphocyte cDNA library, prepared as follows:

Peripheral blood lymphocytes were isolated from freshly prepared buffy coats, on a ficol-paque step gradient (Pharmacia, Uppsala, Sweden). Mononuclear cells present in the interphase of the gradient were removed and washed with PBS three times. The cells were then suspended in the medium RPMI 1640 + 10% FCS (fetal calf serum). About 5 million cells/ml were incubated with pokeweed mitogen (10 ug/ml, Sigma Chemical Corp., St. Louis, MO) for 19 h urs, followed by addition of cycloheximide t a final concentration of 10 ug/ml for

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an additional 6 hours. Incubation was carried out at 37°C and 5% CO<sub>2</sub>.

Total RNA was isolated from activated lymphocytes using the guanidium thiocyanate-CsCl technique (Chirgwin et al., Biochem., 18: 5294-5299 [1979]). Polyadenylated RNA was selected by oligo(dT) chromatography. polyA+ RNA was then ethanol precipitated and centrifuged. The final pellet was dissolved in water and kept in liquid nitrogen in aliquots.

About 5 ug of polyA+ RNA were used for cDNA library construction. After denaturation with methyl mercury hydroxide, oligo(dT)-primed double strand cDNA was synthesized following the procedure set forth in Sambrook et al., supra, followed by methylation with EcoRI and Alu methylases. The technique of Dorssers et al, (Nuc. Acid. Res., 15: 3629, [19AFM]) was used to introduce EcoRI and HindIII sites on the 5' and 3' ends of the cDNAs, respectively. After digestion with EcoRI and HindIII restriction enzymes, cDNAs that were larger than 500 base pairs were isolated from an agarose gel by electroelution. The eukaryotic expression vector V19-10 (described above), was digested with EcoRI and HindIII and was then ligated with the cDNAs. These new plasmids containing cDNA inserts were transfected into competent DH5 alpha cells (GIBCO-BRL, Gaithersburg, MD). The cDNA 25 library was frozen in aliquots at -80°C after addition of DMSO to 7% (Okayama & Berg, Mol. Cell. Biol., 2: 161-170, 1982).

A mixed oligonucleotide probe was designed on the basis of similarity in nucleotide sequences surrounding and coding for the signal peptidase cleavage site of a number of cytokines. The sequence of this degenerate probe was:

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In this sequence, M, W, S, V, R, Y, and H represent degenerate nucleotides. M represents A or C; W represents A or T; S represents C or G; V represents A or C or G; R represents A or G; Y represents C or T; and H represents A or C or T.

Using this probe, a cDNA encoding IL-8 was obtained and sequenced for homology comparison to the published sequence for IL-8 (Furutani et al., Biophys. Biochem. Res. Comm., 159:249-255 [1989]). The IL-8 cDNA clone was then used as a template to PCR amplify a SpeI-NotI fragment of the cDNA. Amplification was accomplished using the following oligonucleotide primers:

15 Primer 5: GGACTAGTCCAGAGCACACAAGCTTCTAG (SEQ ID NO: 7)

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Primar 6: ATARGAATGCGGCCGCTAAACTATTGCATCTGGCAACCC (SEQ ID NO: 8)

Thirty cycles of amplification were conducted as 20 follows: Denaturation was at 94°C for 30 seconds; annealing was at 54°C for 30 seconds; and extension was at 72°C for 30 seconds.

The amplified fragment was then subcloned into SpeI-NotI cut pIIBS-PA (NS) to produce the plasmid pIL-8 PA. The amplified IL-8 sequence, which lacked a portion of the 3' untranslated sequence of the original IL-8 cDNA, was sequence verified and found to be 100% homologous to human IL-8 in the coding region.

The polyadenylated IL-8 cDNA was put under the control of the HCR enhancer and the ApoE promoter by excising the HCR-ApoE promoter-intron cassette from the vector pHCR-HEP BS(HE) as a XhoI-SpeI fragment. This fragment was then subcloned into XhoI-SpeI cut pIL-8 PA to generate the plasmid pHCR-HEP IL-8 PA (abbreviated HE8).

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For microinjection, the plasmid HE8 was digested with restriction enzymes XhoI, ScaI and AflIII, and the approximately 3.3 Kb XhoI-AflIII insert fragment containing the HCR, the ApoE promoter, the ApoE first exon, first intron, a portion of the second exon, the human IL-8 cDNA and the SV40 poly-adenylation signal was purified on a 0.8% ultrapure DNA agarose gel (BRL Corp., Bethesda, MD) and diluted to 1 ng/ul in 5mM Tris, pH 7.4, 0.2mM EDTA. About 2 to 3 picoliters of this solution were injected into the male pronucleus of each mouse embryo.

To prepare a liver expression vector to make transgenic mammals containing the transgenes KGF or MCP-1, the approximately 242 base pair HindIII-XhoI insert fragment from pBS PA (HX) was isolated and subcloned into HindIII-XhoI cut pHCR-HEP BS (PE). The resulting vector, pliv(BSK), has a polylinker region containing EcoRI, EcoRV, and HindIII restriction sites downstream of the HCR-ApoE promoter-intron cassette and upstream of the SV40 poly-adenylation signal.

## B. Preparation of Embryos and Microinjection

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Pregnant mare's serum ("PMS"), supplying Follicle Stimulating Hormone ("FSH") was administered 25 to female mice of the strain BDF1 (Charles River Labs, Boston, MA) about three days prior to the day of microinjection. PMS (obtained from Sigma Chemicals) was prepared as a 50 I.U./ml solution in Phosphate Buffered Saline and injected intraperitoneally at 0.1 ml (5 I.U.) 30 per animal. Human Chorionic Gonadotropin ("HCG"), supplying Luteinizing Hormone ("LH") was administered 45-48 hours after the PMS injections. HCG was also prepared as a 50 I.U./ml solution in PBS and injected IP (intraperiton ally) at 0.1 ml per animal. Females were 35 placed with stud males f the same strain immediat ly

after HCG injections. After mating, the females were examined for a vaginal copulation plug. The appearance of an opaque white plug indicated a successful mating.

Successfully mated females were sacrificed by cervical dislocation, and both oviducts were rapidly removed and placed in M2 medium (Hogan et al., eds., Manipulating the Mouse Embryo: A Laboratory Manual, Cold Spring Harbor Laboratory Press, pp 249-257 [1986]). The oviducts were transferred individually from M2 medium to PBS containing 300 µg/ml hyaluronidase (Sigma 10 Corp., St. Louis, MO.) in a round bottom dissection slide. The embryos were teased out of the oviduct and allowed to settle at the bottom of the slide as the cumulus cells detached from the embryos. When the cumulus masses were disaggregated (about 5 minutes) the 15 embryos were transferred through two washes of M2 medium and the fertilized embryos were separated from unfertilized and abnormal embryos. The fertilized embryos were then transferred through 5% CO2 equilibrated M16 medium (Hogan et al., supra), placed in 20 equilibrated microdrop dishes containing M16 medium under paraffin oil and returned to the incubator.

retilized single-cell embryos from BDF1

xBDF1-bred mice were selected in M16 medium and incubated about 5 hours at 37°C until the pronuclei appeared. Embryos were then transferred into M2 medium in a shallow depression slide under paraffin oil and placed under the microscope. The pronuclei were easily visible under 200% magnification. Using suction on the holding pipet, a single embryo was selected and rotated such that the male pronucleus was away from the holding pipet. Approximately 2 to 3 picoliters of solution containing the DNA construct at about 1 microgram per ml was injected into one of the pronuclei, preferably the male pronucleus. Following the injection, the embryos

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were returned to incubation for 18 hours and reimplanted the next day into foster pseudopregnant females.

Reimplantations were performed on anesthetized female mice of strain CD1 using a dissecting microscope. A pseudo-pregnant female mouse was anaesthetized with 0.017-0.020 ml/g body weight of avertin, injected IP. The mouse was placed under the dissecting microscope and the incision area was disinfected with 70% ethanol. ovary was exteriorized and the bursal sac that surrounds the ovary and the oviduct was carefully pulled open. The ovary and oviduct were separated to expose the opening of the oviduct (termed the infindibulum). Surviving embryos were then removed from the incubator and loaded into the reimplantation pipet. The tip of the pipet was inserted several millimeters into the infindibulum and gentle pressure was used to deliver the embryos into the oviduct. About 10 to 20 2-cell embryos were implanted per mouse, resulting in a litter size of about 3 to 12. The ovary then was returned to the peritoneum, and the body wall and then the skin were 20 sutured.

### C. Identification of Transgenic Mice

Of 52 mice born after embryo injections, 9
contained the IL-8 transgene as assayed by PCR
amplification. About 1 cm of the tail of each mouse was
removed, and DNA was prepared using the technique set
forth by Hogan et al., supra. The DNA was then
subjected to PCR analysis using the following primers:

Primer 7: GCCTCTAGAAAGAGCTGGGAC (SEQ ID NO: 9)

Primer 8: CGCCGTGTTCCATTTATGAGC (SEQ ID NO: 10)

The PCR amplification procedure was denaturation at 94°C for 30 seconds, annealing at 56°C for 30 seconds, and extension at 72°C for 30 seconds. Thirty cycles were performed.

The resultant transgenic mice harboring the transgene in their genome are termed the founder mice.

The founder mice were backcrossed to strain BDF1 mice to generate heterozygous F1 transgenic mice.

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To evaluate the F1 transgenic mice for the presence and effect of IL-8, blood was obtained and analyzed as follows.

Quantitation of serum IL-8 levels were determined using an Elisa kit for human IL-8 (obtained from Biosource International, Camarillo, CA) and following the manufacturer's protocol. The results are shown in Figure 3A. As can be seen, three of the lines of F1 transgenic mice (HE8 lines 7, 26, and 51) had levels of about 100 ng/ml or higher, while no IL-8 was detected in the serum of the non-transgenic (NT) mice.

Circulating white blood cells in the serum of the 20 F1 transgenic and non-transgenic mice were counted using a Sysmex F-800 blood cell counter (Toa Medical Electronics Co., LTD, Kobe, Japan) and following the manufacturer's protocol. Prior to counting, red blood cells were lysed with Quicklyser  $^{TM}$  (Toa Medical 25 Electronics Co., LTD, Kobe, Japan), following the manufacturer's protocol. For differential leukocyte analysis, about 3 µl of whole blood were spread on a glass slide and subjected to Wright's-Giemsa staining. At least 100 cells were counted from each slide by 30 visualizing the cells under a 100x oil emersion lens on an Olympus CH2 student microscope. Neutrophils were distinguished from lymphocytes, macrophages, eosinophils, and basophils by their multinucleated structures. For all lines reported, at least five individual F1 h terozygotes were bled and analyzed.

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Absolute neutrophil levels were determined by multiplying the percentage of neutrophils on the Wright's-Giemsa stained slides by the total white blood cell count obtained from the Sysmex counter. The results are shown in Figure 3B. Three of the F1 transgenic lines evaluated (HE8 lines 7, 26, and 51) had a circulating neutrophil level of greater than 6,000/  $\mu$ l blood, while the non-transgenic (NT) mice had a level of under 1,000/  $\mu$ l blood.

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# Example 2: Preparation of a HCR-KGF Transgenic Mouse

The gene encoding human KGF (keratinocyte growth factor) was obtained by PCR amplification of the gene from a normal human dermal fibroblast cDNA library. PCR amplification of KGF was accomplished using the following two oligonucleotide primers:

Primer 9: CAATCTACAATTCACAGA (SEQ ID NO: 11)

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Primer 10: TTAAGTTATTGCCATAGG (SEQ ID NO: 12)

The conditions for PCR were: denaturation at 92°C for 20 seconds; anneal at 55-40°C for 20 seconds (this consisted of 2 cycles at 55°C, followed by 2 cycles at 45°C, which was followed by 28 cycles at 40°C); and extension at 72°C for 30 seconds. Thirty cycles total were performed.

To introduce HindIII and BglII restriction sites to 30 the ends of the KGF cDNA, the cDNA was PCR amplified using the following two oligonucleotide primers:

Primer 11: AACAAAGCTTCTACAATTCACAGATAGGA (SEQ ID NO: 13)

35 Primer 12: AACAAGATCTTAAGTTATTGCCATAGG (SEQ ID NO: 14)

The conditions for PCR were: denaturation at 92°C for 20 seconds; anneal at 45°C for 20 seconds; and elongation at 72°C for 30 seconds. Thirty cycles were performed.

After amplification, the KGF cDNA was purified and 5 digested with HindIII and BglII, and then ligated into the vector pCFM3006. This vector was prepared from the vector pCFM836 (described in U.S. Patent No. 4,710,473, issued December 1, 19AFM). The two endogenous NdeI restriction sites in pCFM836 were removed by cutting 10 pCFM836 with NdeI, filling in the cut ends of the vector using T4 polymerase, and then re-ligating the vector by blunt end ligation. Next, the DNA sequence between the AatII and KpnI sites of the now modified pCFM836 was altered using the technique of PCR overlapping oligonucleotide mutagenesis. The following changes at the base pair positions listed were made (the base pair position changes are relative to the BglII site on pFM836 which is position (180):

be changed plasmid bp 1 G/C **# 428** A/T \$ 509 insert two G/C bp **∂** 617 C/G **∂** 978 25 A/T **∌** 992 C/G ∄ 1002 T/A Ø 1005 T/A ₽ 1026 T/A € 1045 30 T/A **# 1176** T/A 4 1464 bp deletion **∄ 2026** T/A **∂** 2186 T/A 35 ₽ 2479 GTCA 

PCT/US94/11675 WO 95/11308

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bp deletion # 2641-2647 A/T # 3441 T/A **# 3649** 

The KGF cDNA in this vector was used as a template 5 for amplification. A 710 base pair HindIII fragment of KGF was amplified using PCR and the following two oligonucleotide primers:

Primer 13: CGATCGTAAGCTTGGTCAATGACCTAGGAGTAAC (SEQ ID NO: 15)

### Primer 14: CGATCGTAAGCTTGCGGATCCTAAGTTATTGCC (SEQ ID NO: 16)

Amplification was conducted for 30 cycles. Denaturation was at 94°C for 30 seconds, annealing was at 58°C for 20 seconds, and elongation was at 72°C for 30 seconds. amplified fragment was purified by agarose gel electrophoresis and then ligated into the vector plivBsk (described in Example 1; shown in Figure 2). E. coli cells were then transformed with the ligation mixture 20 and plated out for overnight incubation. After incubation, colonies were selected, grown up, and the plasmids analyzed for those containing KGF in the proper orientation. The orientation of the plasmid KGF was determined by restriction endonuclease digestion with 25 EcoRI. Clones with the proper orientation were grown up and the plasmid purified using a Qiagen column (Qiagen Corp., Chatsworth, CA). Several clones were sequenced to verify the orientation and sequence of the KGF.

DNA to be used in microinjection of the embryos was prepared by cutting the vector containing KGF with SpeI and XhoI to obtain a DNA fragment containing (in order) the HCR, ApoE promoter, KGF, and polyA sequences. This DNA was gel purified and prepared as described in 35 Example 1. Microinjection and implantation into pseudopregnant mice were as described in Example 1.

- 40 -

### Example 3: Preparation of a HCR-MCP-1 Transgenic Mouse

The cDNA encoding human MCP-1 was obtained by screening the human peripheral blood lymphocyte library described in Example 1 with the following probe:

#### CTGTSYCTSCTSNTSMTWGTWGCYGSCT (SEQ ID NO: 17)

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In the probe sequence, S represents C or G; Y represents T or C; N represents A or T or C or G; M represents C or A; and W represents A or T.

A clone of about 850 base pairs was obtained using this probe and was inserted into the vector V19-8 (described in Example 1). This clone was then sequenced for identification, and found to be homologous to the published sequence for MCP-1 (Matsushima et al., *J. Exp. Med.*, 167:1883-1893 [1988]).

The MCP-1 cDNA was excised from the vector

V19-8 as an approximately 350 base pair EcoRI-PstI
fragment, and was ligated into the vector pUC19
previously cut with EcoRI and HindIII. The cDNA was
then removed as an EcoRI-HindIII fragment and inserted
into the vector plivBSK. The vector containing the cDNA

vas called HEMF. This vector was transformed into
E. coli strain DH5 alpha for amplification. After
culturing the cells overnight, the plasmid was isolated
and purified using the alkaline lysis method, followed
by cesium chloride centrifugation.

After centrifugation, the plasmid was digested with the restriction enzymes SpeI, XhoI, and ScaI, and the approximately 2.8 kilobase DNA fragment containing the HCR, ApoE promoter and first intron, MCP-1 cDNA, and the SV40 polyA sequenc was isolated. This DNA was gel purified and prepared for microinjection as described in Example 1. Microinjection of embryos and implantation

of embryos into pseudopr gnant mice were as described in Example 1.

Of 130 offspring analyzed, 5 contained the MCP-1 transgene as detected using PCR analysis.

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# Example 4: Preparation of a HCR-AFM Transgenic Mouse

The cDNA encoding human AFM was obtained as 10 follows:

The polymerase chain reaction (PCR) was used to amplify a portion of the cDNA encoding AFM. PCR was first performed in a total volume of about 100µl using approximately one nanogram of Quick Clone human liver cDNA (Clontech, cat. no. 7113-1) as the template and standard PCR buffer (Perkin-Elmer Cetus). About 1 uM of each of the following two degenerate primers was also used in this PCR reaction.

20 ACGCTGAATTCGCCARAARTTYATHGARGAYAA (SEQ ID NO:18)

ACGCTAAGCTTGCRTCYTTRTADATYTGNACDAT (SEQ ID NO:19)

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In these primer sequences, R represents A or G; Y represents T or C; N represents A or T or C or G; D represents G or A or T; H represents A or C or T.

- 30 The conditions used for the PCR reaction were as follows: 95°C for 8 min (1 cycle); 94°C for 1 min, 34°C for 10 min and 72°C for 2 min (3 cycles); 94°C for 1 min, 50°C for 1 min, and 72°C for 2 min (45 cycles); 72°C for 5 min (1 cycle).
- 35 An approximately 1  $\mu$ l aliquot f amplified DNA obtained from this PCR was used as a template for a

s cond PCR using a nested primer pair. For this second PCR, the following degenerate primers were used:

ACGCTGAATTCGCGAYAAYATHGARTAYATHAC (SEQ ID

5 NO:20)

ACGCTAAGCTTGCNGARTAYTCRAANGTRAA (SEQ ID NO:21)

In these primer sequences, R represents A or G; Y represents T or C; H represents A or C or T; N represents A or T or C or G.

This second PCR was performed using the same reaction mix and cycling parameters as for the first PCR. Analysis of this second PCR by agarose gel electrophoresis revealed the amplification of an approximately 1 kb DNA fragment. This DNA fragment was gel purified, and then digested with restriction endonucleases EcoRI and HindIII, and ligated into the cloning/sequencing vector mp19 (Boehringer Mannheim Corporation) for sequencing.

An oligonucleotide identical to a small portion of the sequence of the DNA fragment was generated and used to isolate the full-length AFM cDNA from a human liver cDNA library (Clonetech, cat no. HL1115a). The sequence of this oligonucleotide was:

# TATGTGCTATGGAGGGGC (SEQ ID NO:22)

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Positive clones from this library screening were purified using standard procedures and then rescreened with the same oligonucleotide probe, and a single clone (called 17AFM) containing an approximately 2.3 kb ins rt was selected. This clone was inserted into the vector pGem3Z for sequencing to confirm that it

encoded the full-length cDNA for human AFM. The nucleic acid sequence and translated amino acid sequence for this clone are set forth in Figure 4.

To prepare a transgenic mouse containing the transgene human AFM, the AFM cDNA clone was removed from the vector by digesting with EcoRI. The cDNA was then inserted into the vector plivBSK (described in Example I). This vector containing the AFM cDNA, was transformed into E. coli strain DH5 alpha for amplification. After culturing the cells overnight, the plasmid was isolated and purified using the standard alkaline lysis method, followed by cesium chloride centrifugation.

After centrifugation, the plasmid was digested with the restriction enzymes SpeI, XhoI, and ScaI, and the DNA fragment containing the HCR, ApoE promoter and first intron, AFM cDNA and the SV40 polyA sequence was isolated. This DNA was gel purified and prepared for microinjection as described in Example I.

Microinjection and implantation of embryos into pseudopregnant mice were as described in Example I.

All literature cited herein is expressly incorporated by reference.

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#### SEQUENCE LISTING

(1)	GENERAL	INFORM	TION:
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- (i) APPLICANT: Amgen Inc.
- (ii) TITLE OF INVENTION: Tissue Specific Transgene Expression
- (iii) NUMBER OF SEQUENCES: 24
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: Amgen Inc., U.S. Patent Operations/NAO
  - (B) STREET: 1840 Dehavilland Drive
  - (C) CITY: Thousand Oaks
  - (D) STATE: California
  - (E) COUNTRY: USA
  - (F) ZIP: 91320-1789
- (V) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOPTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE:
  - (C) CLASSIFICATION:

### (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 774 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

CTGCAGGCTC	AGAGGCACAC	AGGAGTTTCT	GGGCTCACCC	TECCCCCTTC	CAACCCCTCA	60
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AGCCTACTCA	TGTCCCTAAA	ATGGGCAAAC	ATTGCAAGCA	GCAAACAGCA	AACACACAGC	180
CCTCCCTGCC	TGCTGACCTT	GGAGCTGGGG	CAGAGGTCAG	AGACCTCTCT	GGGCCCATGC	240
CACCTCCAAC	ATCCACTCGA	CCCCTTGGAA	TTTCGGTCGA	GAGGAGCAGA	CCTTCTCCTG	300
CCCTCCTTTA	GGTAGTGTGA	GAGGGTCCGG	GTTCAAAACC	ACTIGCTGGG	TGGGGAGTCG	360

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TCASTAAGTG GCTATGCCCC GACCCCGAAG CCTGTTTCCC CATCTGTACA ATGGAAATGA	420
TANAGACGCC CATCTGATAG GGTTTTTGTG GCAAATAAAC ATTTGGTTTT TTTGTTTTGT	480
TTTGTTTTGT TTTTTGAGAT GGAGGTTTGC TCTGTCGCCC AGGCTGGAGT GCAGTGACAC	540
AATCTCATCT CACCACAACC ITCCCCTGCC TCAGCCTCCC AAGTAGCTGG GATTACAAGC	600
ATGTGCCACC ACACCTGGCT AATTTTCTAT TTTTAGTAGA GACGGGTTTC TCCATGTTGG	660
TCAGCCTCAG CCTCCCAAGT AACTGGGATT ACAGGCCTGT GCCACCACAC CCGGCTAATT	720
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(2) INFORMATION FOR SEQ ID NO:2:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 22 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear  (ii) MOLECULE TYPE: cDNA	
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(ii) MOLECULE TYPE: cDNA

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CTCTAGAAAG CTTAATTCAG TC	22
(2) INFORMATION FOR SEQ ID NO:5:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 31 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:	
CTGGATCTCG AGGTACCCGG GGATCATAAT C	31
(2) INFORMATION FOR SEQ ID NO:6:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 30 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
	•
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:	
ATGTCGACHW CSVTGCMCCH RYMYSMYCYA	30
(2) INFORMATION FOR SEQ ID NO:7:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 29 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
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GGACTAGTCC AGAGCACACA AGCTTCTAG	29
(2) INFORMATION FOR SEQ ID NO:8:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 39 bas pairs  (B) TYPE: nuclei acid	

- 47 -

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
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(ii) MOLECULE TYPE: cDNA	
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(ii) MOLECULE TYPE: cDNA	
(mi) SEQUENCE DESCRIPTION: SEQ ID NO:10:	
CGCCGTGTTC CATTTATGAG C	21
(2) INFORMATION FOR SEQ ID NO:11:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 18 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
(mi) SEQUENCE DESCRIPTION: SEQ ID NO:11:	
CARTCTACAA TTCACAGA :	18

(2) INFORMATION FOR SEQ ID NO:12:

ening spige vital a n	i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 18 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	, e
(±	i) MOLECULE TYPE: cDNA	
(22	i) SEQUENCE DESCRIPTION: SEQ ID NO:12:	
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( <u>1</u>	i) MOLECULE TYPE: cDRA	
(33.	i) sequence description: seq id No:13:	
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(2) IN	FORMATION FOR SEQ ID NO:14:	
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(22.	i) SEQUENCE DESCRIPTION: SEQ ID NO:14:	
AACAAG	atct quagttatte ccataes	27
(2) IN	FORMATION FOR SEQ ID NO:15:	
	i) SEQUENCE CHARACTERISTICS:  (A) LENGTE: 34 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(3.	1) MOLECULE TYPE: cDNA	

·	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:	
CGATCGTAAG CTTGGTCAAT GACCTAGGAG TAAC	34
(2) INFORMATION FOR SEQ ID NO:16:	•
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 33 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
(mi) SEQUENCE DESCRIPTION: SEQ ID NO:16:	
CGATCGTAAG CTTGCGGATC CTAAGTTATT GCC	33
(2) INFORMATION FOR SEQ ID NO:17:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 28 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(ii) MOLECULE TYPE: cDNA	
(mi) SEQUENCE DESCRIPTION: SEQ ID NO:17:	28
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(2) INFORMATION FOR SEQ ID NO:18:	
(1) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 33 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDMESS: single  (D) TOPOLOGY: linear	
(ii) KOLECULE TYPE: cDMA	
(x1) SEQUENCE DESCRIPTION: SEQ ID NO:18:	
ACGCTGAATT CGCCARAART TYATHGARGA YAA	33
(2) INFORMATION FOR SEQ ID NO:19:	
(i) SEQUENCE CHARACTERISTICS:	

i vale versakelike il	e south the same	(A) LENGTH: 34 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	 - 25
	( <u>i</u> i)	MOLECULE TYPE: CDNA	
		SEQUENCE DESCRIPTION: SEQ ID NO:19:	34
		RMATION FOR SEQ ID NO:20:	
(-/		SEQUENCE CHARACTERISTICS:  (A) LENGTH: 33 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	( <u>ii</u> )	MOLECULE TYPE: cDNA	
ACGC	TGAA'	SEQUENCE DESCRIPTION: SEQ ID NO:20:  ATT CGCGAYAAYA THGARTAYAT HAC  DRMATION FOR SEQ ID NO:21:	33
	(1)	SEQUENCE CHARACTERISTICS:  (A) LENGTH: 31 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(11)	MOLECULE TYPE: cDNA	
ACGO		SEQUENCE DESCRIPTION: SEQ ID NO:21:	31
(2)	INFO	DRMATION FOR SEQ ID NO:22:	
	(1)	SEQUENCE CHARACTERISTICS:  (A) LENGTH: 18 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii)	) MOLECULE TYPE: cDNA	

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:

- 51 -

TATGTGCTAT GGAGGGGC	18
(2) INFORMATION FOR SEQ ID NO:23:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 2287 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: unknown  (D) TOPOLOGY: unknown	
(ii) MOLECULE TYPE: cDNA	
(ix) FEATURE: (A) NAME/KEY: CDS (B) LOCATION: 3182117	
(in) FEATURE:  (A) NAME/REY: mat_peptide  (B) LOCATION: 3812114	
(in) FEATURE: (A) NAME/KEY: sig_peptide (B) LOCATION: 318380	
(mi) SEQUENCE DESCRIPTION: SEQ ID NO:23:	
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ARACACTGTA GACCGTGTAT ATARARACAC TCTATARACT GCARTGCTCA ATTCTTAGTA	120
TRACTATIGT TGTTGTATTG ATATTATTA GTATTGGTGC TCACAAAAAG AGTCTAAATT	180
CCATAAGTCT TTATATTCAG GCTACTCTTT ATTTTTGAAA ACTCATTTTC TATCACCTTT	240
TTCTATTTTA CTCCATATTG AGGCCTCATA AATCCAATTT TTTATTTCTT TCTTTTGTAA	300
ATGTGGTTTC TACAAAG ATG AAA CTA CTA AAA CTT ACA GGT TTT ATT TTT  EST Lys Lou Lys Leu Thr Gly Phe Ile Phe  -21 -20 -15	350
TTC TTG TTT TTG ACT GAA TCC CTA ACC CTG CCC ACA CAA CCT CGG Phe Lau Phe Pho Lau Thr Glu Ser Lau Thr Leu Pro Thr Gln Pro Arg -10 -5 1 5	398
GAT ATA GAG AAC TTC AAT AGT ACT CAA AAA TTT ATA GAA GAT AAT ATT Asp Ile Glu Asn Phe Asn Ser Thr Gln Lys Phe Ile Glu Asp Asn Ile 10 15 20	446
GAA TAC ATC ACC ATC ATT GCA TTT GCT CAG TAT GTT CAG GAA GCA ACC Glu Tyr Ile Thr Ile Ile Ala Phe Ala Gln Tyr Val Gln Glu Ala Thr	494

TIT GAA GAA ATG GAA AAG CTG GTG AAA GAC ATG GTA GAA TAC AAA GAC 542

Phe Glu Glu Mat Glu Lys Lau Val Lys Asp Met Val Glu Tyr Lys Asp
40 45 50

	AGA Arg 55	TGT Cys	atg Mot	GCT Ala	GAC Asp	aag Lys 60	ACG Thr	OTC Lou	CCA Pro	GAG Glu	TGT Cys 65	TCA Sei	aaa Lys	tta Lou	CCT Pro	AAT Asn 70	590
	TAA neA	GTT Val	TTA Leu	CAG Gln	GAA Glu 75	AAA Lys	ATA Ile	TGT Cys	GCT Ala	ATG Met 80	GAG Glu	GGG Gly	CTG Leu	CCA Pro	CAA Gln 85	AAG Lys	638
	CAT His	aat Asn	TTC Phe	TCA Ser 90	CAC His	TGC Cys	TGC Cys	AGT Ser	AAG Lys 95	GTT Val	gat Asp	GCT Ala	CAA Gln	AGA Arg 100	AGA Arg	CTC Leu	686
	TGT Cys	TTC Phe	TTC Phe 105	TAT Tyr	AAC Asn	AAG Lys	AAA Lys	TCT Ser 110	gat Asp	GTG Val	GGA Gly	TTT Phe	CTG Leu 115	CCT Pro	CCT Pro	TTC Phe	734
	CCT Pro	ACC Thr 120	CTG Leu	GAT Asp	CCC Pro	GAA Glu	GAG Glu 125	aaa Lys	TGC Cys	CAG Gln	GCT Ala	TAT Tyr 130	GAA Glu	AGT Sei	AAC Asn	AGA Arg	782
	Glu 135	TCC Ser	Leu	Leu	Asn	His 140	Phe	Leu	Tyr	Glu	Val 145	Ala	Arg	Arg	ASI	150	830
	Pbe	GTC Val	Phe	Ala	Pro 155	Thr	Lęu	Leu	Thr	Val 160	Ala	Val	His	Pne	165	GIU	878
•	GTG Val	GCC	AAA Lys	TCA Ser 170	TGT Cys	TGT Cys	GAA Glu	GAA Glu	CAA Gln 175	AAC Asd	aaa Lys	GTC Val	AAC Asn	TGC Cys 180	CTT	CAA Gln	926
	ACA Thr	AGG Arg	GCA Ala 185	Ile	CCT	GTC Val	ACA Thr	CAA Gln 190	Tyr	TTA Leu	AAA Lys	GCA Ala	TTT Phe 195	TCT Ser	TCT Ser	TAT Tyr	974
	CAA Gln	Lys 200	His	GTC Val	TGT Cys	gj à GCC	GCA Ala 205	Lou	TTG Leu	aaa Lys	TTT	GGA Gly 210	Thr	aaa Lys	GTT Val	GTA Val	1022
	CAC His 215	Phe	ATA	TAT Tyr	ATT : Ile	220 220	Ile	CTC Lau	AGT Ser	CAA	Lys 225	<b>Bpe</b>	Pro	AAG Lys	ATT Ile	GAA Glu 230	1070
	TTT	Lys Lys	GAG Glu	CTI Lou	LIG 235	Ser	CTT	GTA Val	Glu	GAT Asp 240	Val	TCI Ser	TCC Ser	AAC Asn	TAT Tyr 245	ASP	1118
	GGA Gly	TGC	TGT Cys	GAA Glu 250	Gly	GAT Asp	Val	GTG Val	Gln 255	Cys	: ATC	CG1	GAC Asp	ACG Thr 260	Ser	AAG Lys	1166
	GTI Val	atc Met	AAC ASI 265	n His	ATI Ile	TGI Cys	TCA Sex	1 1 1 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1 2 1	Glo	GAT Asp	TCI Ser	ATC	Ser 275	: S 1	Lys	ATC : Ile	1214
	M	CAC	, TGC	TG9	GA	AAC	AAA	ate .	A CCA	GAG	CGC	GGG	CAC	rg(	: ATA	TTA /	1262

Lys	Glu 280	Cys	Cys	Glu	Lys	Lys 285	Ile	Pro	Glu	Arg	Gly 290	Gln	Суз	Ile	Ile	
AAC Asn 295	TCA Ser	AAC Asn	AAA Lys	gat Asp	GAT Asp 300	AGA Arg	CCA Pro	AAG Lys	GAT Asp	TTA Leu 305	TCT Ser	CTA Leu	AGA Arg	GAA Glu	GGA Gly 310	1310
AAA Lys	TTT Phe	ACT Thr	GAC Asp	AGT Ser 315	GAA Glu	TAA Asn	GTG Val	TGT Cys	CAA Gln 320	GAA Glu	CGA Arg	gat Asp	GCT Ala	GAC Asp 325	CCA Pro	1358
GAC Asp	ACC Thr	TTC Phe	TTT Phe 330	GCG Ala	AAG Lys	TTT Phe	ACT Thr	TTT Phe 335	GAA Glu	TAC Tyr	TCA Ser	AGG Arg	AGA Arg 340	CAT His	CCA Pro	1406
gac Asp	CTG Leu	TCT Ser 345	ATA Ile	CCA Pro	GAG Glu	CTT Leu	TTA Leu 350	AGA Arg	ATT Ile	GTT Val	CAA Gln	ATA Ile 355	TAC Tyr	AAA Lys	GAT Asp	1454
CTC Leu	CTG Leu 360	AGA Arg	AAT Asn	TGC Cys	TGC Cys	AAC Asn 365	ACA Thr	GAA Glu	AAC Asn	CCT Pro	CCA Pro 370	GGT Gly	TGT Cys	TAC Tyr	CGT Arg	1502
TAC Tyr 375	GCG Ala	GAA Glu	gac Asp	AAA Lys	TTC Phe 380	AAT Asn	GAG Glu	ACA Thr	ACT Thr	GAG Glu 385	AAA Lys	AGC Ser	CTC Leu	AAG Lys	ATG Met 390	1550
GTA Val	CAA Gln	CAA Gln	GAA Glu	TGT Cys 395	AAA Lys	CAT His	TTC Phe	CAG Gln	AAT Asn 400	TTG Leu	GGG Gly	AAG Lys	GAT Asp	GGT Gly 405	TTG Leu	1598
aaa Lys	TAC Tyr	CAT His	TAC Tyr 410	CTC Leu	ATC Ile	AGG Arg	CTC Leu	ACG Thr 415	AAG Lys	ATA Ile	GCT Ala	CCC Pro	CAA Gln 420	CTC Leu	TCC Ser	1646
ACT Thr	GAA Glu	GAA Glu 425	CTG Leu	GTG Val	TCT Ser	CTT Leu	GGC Gly 430	GAG Glu	AAA Lys	atg Met	GTG Val	ACA Thr 435	GCT Ala	TTC Phe	ACT Thr	1694
ACT Thr	TGC Cys 440	TGT Cys	ACG Thr	CTA Leu	agt Sei	Glu 445	Glu Glu	TTT Phe	GCC Ala	tgt Cys	GTT Val 450	gat Asp	AAT Asn	TTG Leu	GCA Ala	1742
GAT Asp 455	TTA Leu	GTT Val	TTT Phe	gja Gcy	GNG Glu 460	TTA Lou	TGT Cys	gja GCY	GTA Val	AAT Asn 465	GAA Glu	AAT Asn	CGA Arg	ACT Thr	ATC Ile 470	. 1790
AAC Asn	CCT Pro	GCT Ala	GTG Val	GAC Asp 475	CAC His	TGC Cys	TGT Cys	AAA Lys	ACA Thr 480	aac Asn	TTT	GCC	TTC Phe	AGA Arg 485	agg aig	1838
CCC Pro	TGC Cys	TTT Phe	GAG Glu 490	AGT Ser	TTG Læu	aaa Lys	GCT Ala	GAT Asp 495	AAA Lys	ACA Thr	TAT Tyr	GTG Val	CCT Pro 500	CCA Pro	CCT Pro	1886
TTC Phe	TCT Ser	CAA Gln 505	gat Asp	TTA Leu	TTT Phe	ACC Thr	TTT Phe 510	CAC His	GCA Ala	GAC Asp	ATG Met	TGT Cys 515	CAA Gln	TCT Ser	CAG Gln	1934

							•									
aat Asn	GAG Glu 520	GAG Glu	CTT	CAG Gln	agg arg	AAG Lys 525	ACA The	GAC Asp	AGG A <del>rg</del>	TTT Pho	CTT Leu 530	GTC Val	AAC Asn	TTA Leu	GTG Val	1982
AAG Lys 535	CTG Leu	aag Lys	CAT His	GAA Glu	CTC Leu 540	ACA Thr	gat Asp	GAA Glu	GAG Glu	CTG Leu 545	CAG Gln	TCT Ser	TTG Leu	TTT Phe	ACA Thr 550	2030
AAT Asn	TTC Phe	GCA Ala	AAT Asn	GTA Val 555	GTG Val	gat Asp	AAG Lys	TGC Cys	TGC Cys 560	AAA Lys	GCA Ala	GAG Glu	AGT Ser	CCT Pro 565	GAA Glu	2078
Val GTC	TGC Cys	TTT Phe	AAT Asn 570	GAA Glu	GAG Glu	AGT Ser	CCA Pro	AAA Lys 575	ATT Ile	GJ A GCC	AAC Asn	TGA	AGCC	AGC		2124
TGC:	rgga	SAT I	atgt	AAAG	AA A	AAAG	CACC	A AA	GGGA	AGGC	TTC	CTAT	CTG '	TGTG	GTGATG	2184
Taa	CGCA?	rtt (	CCTG	AGAA	CA A	ata	aaag	g at	TTTT(	CTGT	AAC'	TGTC	ACC '	TGAA.	ATAATA	2244
Cat:	rgca(	GCA :	AGCA	ataa	AC A	CAAC	ATTT	T GT	aaag	TTAA	AAA					2287
(2)	INF	ORMA	HOIT	FOR	SEQ	ID :	NO:2	<b>4:</b>								
		(i)	SEQU	ENCE	CHA	RACT	ERI S	TICS	:							

- (A) LENGTH: 599 amino acids
- (B) TYPE: amino acid
- (D) TOPOLOGY: linear

### (ii) MOLECULE TYPE: protein

### (mi) SEQUENCE DESCRIPTION: SEQ ID NO:24:

Met Lys Leu Leu Lys Leu Thr Gly Phe Ile Phe Phe Leu Phe Phe Leu -21 -20 -15 -10

Thr Glu Ser Leu Thr Leu Pro Thr Gln Pro Arg Asp Ile Glu Asn Phe -5 1 10

Asn Ser Thr Gin Lys Phe Ile Glu Asp Asn Ile Glu Tyr Ile Thr Ile 15 20 25

Ile Ala Phe Ala Gln Tyr Val Gln Glu Ala Thr Phe Glu Glu Mat Glu
30 35 40

Lys Leu Val Lys Asp Met Val Glu Tyr Lys Asp Arg Cys Met Ala Asp

Lys Thr Leu Pro Glu Cys Ser Lys Leu Pro Asn Asn Val Leu Gln Glu 60 65 70 75

Lys Ile Cys Ala Mat Glu Gly Leu Pro Gln Lys His Asn Phe Ser His 80 85 90

Cys Cys Ser Lys Val Asp Ala Gln Arg Arg Leu Cys Phe Phe Tyr Asn 95 . 100 105

Lys Lys Ser Asp Val Gly Phe Leu Pro Pro Phe Pro Thr Leu Asp Pro 115 Glu Glu Lys Cys Gln Ala Tyr Glu Ser Asn Arg Glu Ser Leu Leu Asn His Phe Leu Tyr Glu Val Ala Arg Arg Asn Pro Phe Val Phe Ala Pro Thr Leu Leu Thr Val Ala Val His Phe Glu Glu Val Ala Lys Ser Cys Cys Glu Glu Gln Asn Lys Val Asn Cys Leu Gln Thr Arg Ala Ile Pro Val Thr Gln Tyr Leu Lys Ala Phe Ser Ser Tyr Gln Lys His Val Cys Gly Ala Leu Leu Lys Phe Gly Thr Lys Val Val His Phe Ile Tyr Ile Ala Ile Leu Ser Gln Lys Phe Pro Lys Ile Glu Phe Lys Glu Leu Ile Ser Leu Val Glu Asp Val Ser Ser Asn Tyr Asp Gly Cys Cys Glu Gly Asp Val Val Gln Cys Ile Arg Asp Thr Ser Lys Val Met Asn His Ile Cys Ser Lys Gln Asp Ser Ile Ser Ser Lys Ile Lys Glu Cys Cys Glu Lys Lys Ile Pro Glu Arg Gly Gln Cys Ile Ile Asn Ser Asn Lys Asp Asp Arg Pro Lys Asp Leu Ser Leu Arg Glu Gly Lys Phe Thr Asp Ser Glu Asn Val Cys Gln Glu Arg Asp Ala Asp Pro Asp Thr Phe Phe Ala Lys Phe Thr Phe Glu Tyr Ser Arg Arg His Pro Asp Leu Ser Ile Pro Glu Leu Leu Arg Ile Val Gln Ile Tyr Lys Asp Leu Leu Arg Asn Cys Cys Asn Thr Glu Asn Pro Pro Gly Cys Tyr Arg Tyr Ala Glu Asp Lys Phe Asn Glu Thr Thr Glu Lys Ser Leu Lys Met Val Gln Gln Glu Cys 390 Lys His Phe Gln Asn Lou Gly Lys Asp Gly Leu Lys Tyr His Tyr Leu 400 405

Ile	Arg	Leu	Thr 415	ГАЗ	Ile	Ala		420					Glu 425		
Ser	Leu	Gly 430	Glu	Lys	Met	Val		Ala							
Ser	Glu 445	Glu	Phe	Ala	Суз	Val 450	Asp	Asn	Leu	Ala	Asp 455	Leu	Val	Phe	Gly
Glu 460	Leu	Суз	Gly	Val	Asn 465	Glu	Asn	Arg	Thr	Ile 470	Asn	Pro	Ala	Val	Asp 475
His	Cys	Cys	Lys	Thr 480	Asn	Phe	Ala	Phe	Arg 485	Arg	Pro	Cys	Phe	Glu 490	Ser
Leu	Lys	Ala	Asp 495	Lys	Thr	Tyr	Val	Pro 500	Pro	Pro	Phe	Ser	Gln 505	Asp	Leu
Phe	Thr	Phe 510	His	Ala	Asp	Met	Cys 515	Gln	Ser	Gln	Asn	Glu 520	Glu	Lau	Gln
Arg	Lys 525	Thr	Asp	Arg	Phe	Leu 530	<b>Val</b>	Asn	Leu	Val	Lys 535	Leu	Lys	His	Glu
Leu 540	Thr	Asp	Glu	Glu	Leu 545	Gln	Ser	Leu	Phe	Thr 550	Asn	Phe	Ala	Asn	Val 555
Val	Asp	Lys	Cys	С <b>у</b> з 560	Lys	Ala	Glu	Ser	Pro 565	Glu	Val	Cys	Phe	Asn 570	Glu
Glu	Ser	Pro	Lys 575		Gly	Asn									

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We Claim:

- 1. A nucleic acid sequence comprising an HCR enhancer operably linked to a promoter and a transgene.
- The nucleic acid sequence of claim 1
   wherein the promoter is selected from the group of
   promoters consisting of: ApoA-I, ApoA-II, ApoA-III,
   ApoA-IV, ApoB-48, ApoB-100, ApoC-I, ApoC-II, ApoC-III,
   ApoE, albumin, alpha feto protein, PEPCK, transthyretin,
   SV40, CMV, and TK.
- 3. The nucleic acid sequence of claim 1
  further comprising an intron and a polyadenylation
  15 sequence, wherein the HCR enhancer, the promoter, the
  intron, the transgene and the polyadenylation sequence
  are all operably linked such that the coding sequence of
  the transgene may be expressed.
- 4. The nucleic acid sequence of claim 1
  wherein the transgene comprises a nucleic acid encoding
  a polypeptide involved in the immune response,
  hematopoiesis, inflammation, cell growth and
  proliferation, cell lineage differentiation, or the
  stress response.
- The nucleic acid sequence of claim 4
  wherein the transgene is selected from the group
  consisting of: interleukin 1, interleukin 2, interleukin
  3, interleukin 4, interleukin 5, interleukin 6,
  interleukin 7, interleukin 8, interleukin 9, interleukin
  10, interleukin 11, interleukin 12, ENA-78, interferonα, interferon-β, interferon-γ, granulocyte-colony
  stimulating factor, granulocyte-macrophage colony
   stimulating factor, macrophage colony stimulating

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factor, stem cell factor, keratinocyte growth factor, AFM, MCP-1 and TNF, and fragments thereof.

- 6. The nucleic acid sequence of claim 5
  5 comprising the human ApoE promoter, the human ApoE
  intron 1 linked at its 5' end to the human ApoE exon 1
  and at its 3' end to a portion of the human ApoE exon 2,
  and the coding sequence of the transgene human IL-8.
- 7. The nucleic acid sequence of claim 5 comprising the human ApoE promoter, the human ApoE intron 1 linked at its 5' end to the human ApoE exon 1 and at its 3' end to a portion of the human ApoE exon 2, and the coding sequence of the transgene human KGF.
- 8. The nucleic acid sequence of claim 5 comprising the human ApoE promoter, the human ApoE intron 1 linked at its 5' end to the human ApoE exon 1 and at its 3' end to a portion of the human ApoE exon 2, 20 and the coding sequence of the transgene human MCP-1.
  - 9. The nucleic acid sequence of claim 5 comprising the human ApoE promoter, the human ApoE intron 1 linked at its 5' end to the human ApoE exon 1 and at its 3' end to a portion of the human ApoE exon 2, and the coding sequence of the transgene human AFM.
- 10. A non-human mammal or its progeny containing a nucleic acid sequence comprising an HCR 30 enhancer operably linked to a promoter and a transgene.
- 11. The non-human mammal of claim 10 wherein the promoter is selected from the group of promoters consisting of: ApoA-I, ApoA-II, ApoA-III, ApoA-IV, ApoB-35 48, ApoB-100, ApoC-I, ApoC-II, ApoC-III, ApoE, albumin,

alpha feto protein, PEPCK, transthyretin, SV40, CMV, and TK.

- 12. The non-human mammal of claim 11 wherein the nucleic acid sequence further comprises an intron, at least a portion of the coding sequence of a transgene, and a polyadenylation sequence, and wherein the HCR enhancer, the promoter, the intron, the transgene, and the polyadenylation sequence are all operably linked such that the transgene may be expressed.
- 13. The non-human mammal of claim 11 wherein the transgene comprises a nucleic acid encoding a polypeptide involved in the immune response,
  15 hematopoiesis, inflammation, cell growth and proliferation, cell lineage differentiation, or the stress response.

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14. The non-human mammal of claim 13 wherein
20 the transgene is selected from the group consisting of: interleukin 1, interleukin 2, interleukin 3, interleukin 4, interleukin 5, interleukin 6, interleukin 7, interleukin 8, interleukin 9, interleukin 10, interleukin 11, interleukin 12, ENA-78, interferon-α,
25 interferon-β, interferon-γ, granulocyte-colony stimulating factor, granulocyte-macrophage colony stimulating factor, stem cell factor, keratinocyte growth factor, AFM, MCP-1 and TNF, and fragments thereof.

15. The non-human mammal of claim 14 wherein the nucleic acid sequence comprises the human ApoE promoter, the human ApoE intron 1 linked at its 5' end to the human ApoE exon 1 and at its 3' end to a portion of the human ApoE exon 2, and at least a portion of the coding sequence of the transgene human IL-8.

- 60 -

- the nucleic acid sequence comprises the human ApoE promoter, the human ApoE intron 1 linked at its 5' end to the human ApoE exon 1 and at its 3' end to a portion of the human ApoE exon 2, and at least a portion of the coding sequence of the transgene human KGF.
- 17. The non-human mammal of claim 14 wherein the nucleic acid sequence comprises the human ApoE promoter, the human ApoE intron 1 linked at its 5' end to the human ApoE exon 1 and at its 3' end to a portion of the human ApoE exon 2, and at least a portion of the coding sequence of the transgene human MCP-1.

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- 18. The non-human mammal of claim 14 wherein the nucleic acid sequence comprises the human ApoE promoter, the human ApoE intron 1 linked at its 5' end to the human ApoE exon 1 and at its 3' end to a portion of the human ApoE exon 2, and at least a portion of the coding sequence of the transgene human AFM.
- 19. The non-human mammal of claims 10, 11, 12, 13, 14, 15, 16, 17 or 18 that is a rodent.

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- 20. The rodent of claim 19 that is a mouse.
- 21. A vector comprising the nucleic acid sequence of claim 1.

- 22. A vector comprising the nucleic acid sequence of claim 3.
- 23. A vector comprising the nucleic acid 35 sequence of claim 5.

- 24. A vector comprising the nucleic acid sequence of claim  $\epsilon$ .
- 25. A vector comprising the nucleic acid5 sequence of claim 7.
  - 26. A prokaryotic cell containing the vector of claims 21, 22, 23, 24, or 25.
- 27. A eukaryotic cell containing the vector of claims 21, 22, 23, 24 or 25.
  - 28. A prokaryotic cell containing the nucleic acid sequence of claims 1, 2, 3, 4, 5, 6, 7, or 8.
  - 29. A eukaryotic cell containing the nucleic acid sequence of claims 1, 2, 3, 4, 5, 6, 7, or 8.

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# FIG. I

CTGCAGGCTC AGAGGCACAC AGGAGTTTCT GGGCTCACCC TGCCCCCTTC CAACCCCTCA GTTCCCATCC TCCAGCAGCT GTTTGTGTGC TGCCTCTGAA GTCCACACTG AACAAACTTC AGCCTACTCA TGTCCCTAAA ATGGGCAAAC ATTGCAAGCA GCAAACAGCA AACACAGC CCTCCCTGCC TGCTGACCTT GGAGCTGGGG CAGAGGTCAG AGACCTCTCT GGGCCCATGC CACCTCCAAC ATCCACTCGA CCCCTTGGAA TTTCGGTGGA GAGGAGCAGA GGTTGTCCTG GCGTGGTTTA GGTAGTGTGA GAGGGTCCGG GTTCAAAACC ACTTGCTGGG TGGGGAGTCG TCAGTAAGTG GCTATGCCCC GACCCCGAAG CCTGTTTCCC CATCTGTACA ATGGAAATGA TAAAGACGCC CATCTGATAG GGTTTTTGTG GCAAATAAAC ATTTGGTTTT TTTGTTTTGT TTTGTTTTGAGAT GGAGGTTTGC TCTGTCGCCC AGGCTGGAGT GCAGTGACAC AATCTCATCT CACCACAACC TTCCCCTGCC TCAGCCTCCC AAGTAGCTGG GATTACAAGC ATGTGCCACC ACACCTGGCT AATTTTCTAT TTTTAGTAGA GACGGGTTTC TCCATGTTGG TCAGCCTCAG CCTCCCAAGT AACTGGGATT ACAGGCCTGT GCCACCACAC CCGGCTAATT TTTTCTATTT TTGACAGGGA CGGGGTTTCA CCATGTTGGT CAGGCTGGTC TAGA

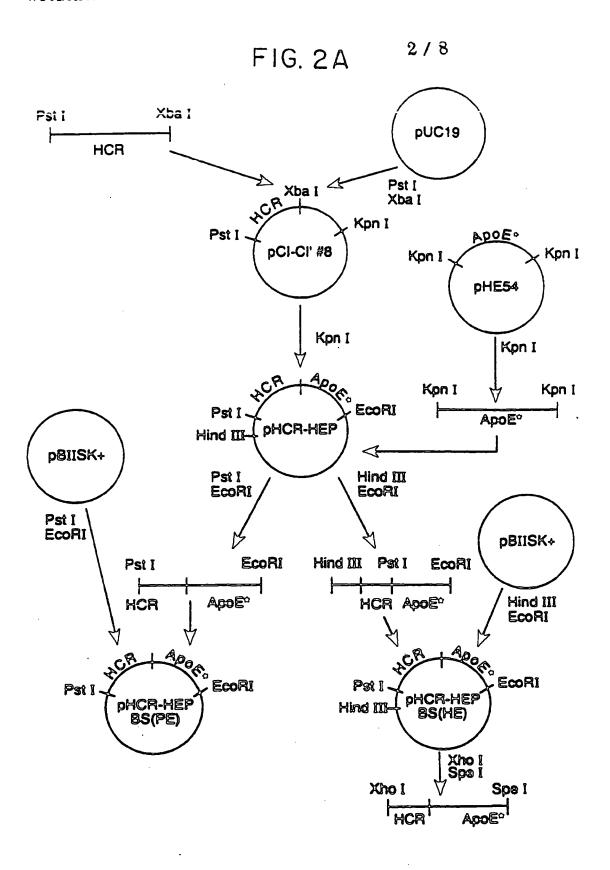
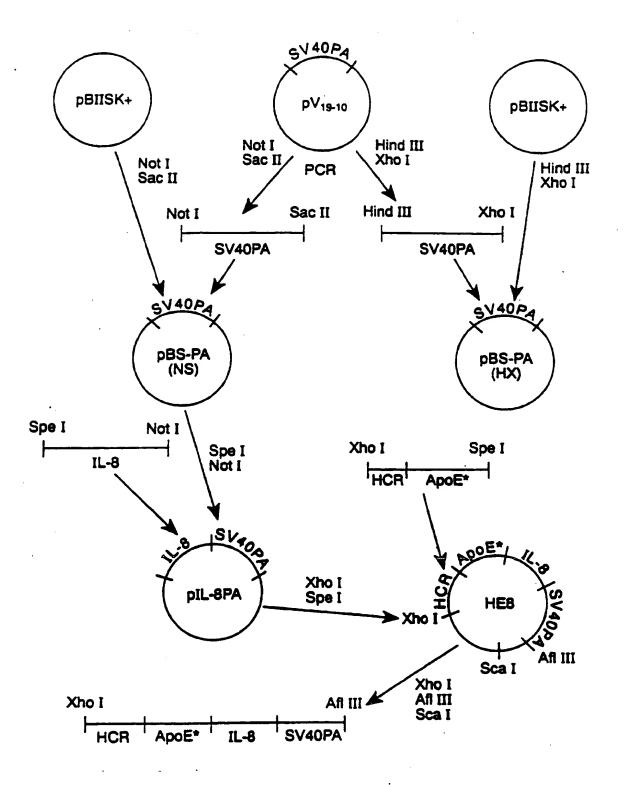
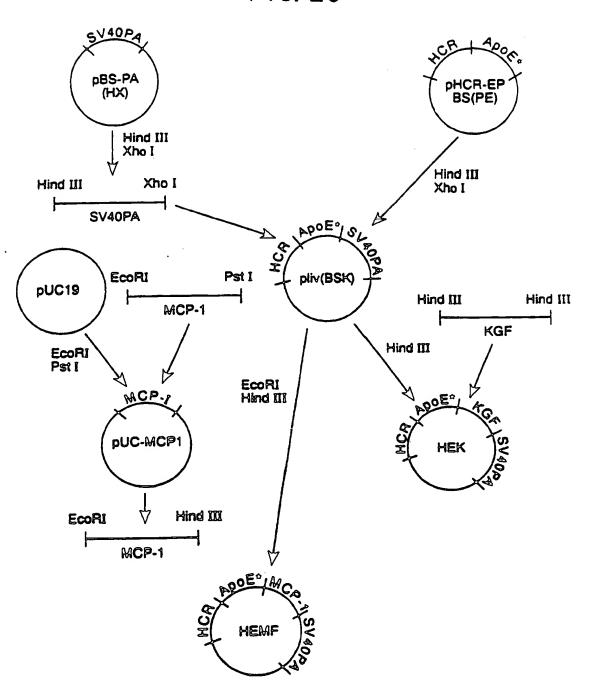


FIG. 2B 3/8

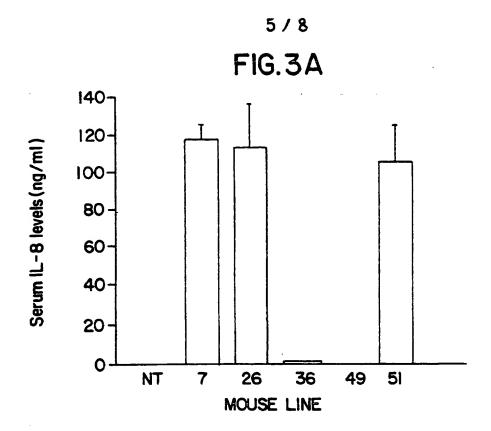


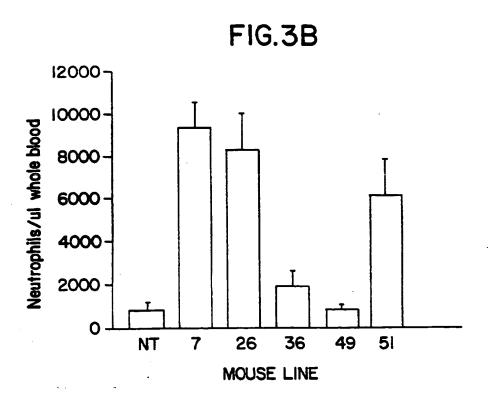
4/8

FIG. 2C



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SUBSTITUTE SHEET (RULE 26)

# 6/8 FIG. 4A

CCCCGAGTCT CTGCGCCTTC ACATAGTTGT CACAGGACTA AAGCAAATTG ATCCAGGGGG AAACACTGTA GACCGTGTAT ATAAAAACAC TCTATAAACT GCAATGCTCA ATTCTTAGTA TAACTATTGT TGTTGTATTG ATATTTATTA GTATTGGTGC TCACAAAAAG AGTCTAAATT CCATAAGTCT TTATATTCAG GCTACTCTTT ATTTTTGAAA ACTCATTTTC TATCACCTTT TTCTATTTA CTCCATATIG AGGCCTCATA AATCCAATIT TITATTCTT TCTTTTGTAA ATGTGGTTTC TACAAAG ATG AAA CTA CTA AAA CTT ACA GGT TTT ATT TTT Met Lys Leu Leu Lys Leu Thr Gly Phe Ile Phe -15 TTC TTG TTT TTG ACT GAA TCC CTA ACC CTG CCC ACA CAA CCT CGG Phe Leu Phe Phe Leu Thr Glu Ser Leu Thr Leu Pro Thr Gln Pro Arg GAT ATA GAG AAC TTC AAT AGT ACT CAA AAA TTT ATA GAA GAT AAT ATT Asp Ile Glu Asn Phe Asn Ser Thr Gln Lys Phe Ile Glu Asp Asn Ile GAA TAC ATC ACC ATC ATT GCA TTT GCT CAG TAT GTT CAG GAA GCA ACC Glu Tyr Ile Thr Ile Ile Ala Phe Ala Gln Tyr Val Gln Glu Ala Thr TTT GAA GAA ATG GAA AAG CTG GTG AAA GAC ATG GTA GAA TAC AAA GAC Phe Glu Glu Met Glu Lys Leu Val Lys Asp Met Val Glu Tyr Lys Asp AGA TGT ATG GCT GAC AAG ACG CTC CCA GAG TGT TCA AAA TTA CCT AAT Arg Cys Met Ala Asp Lys Thr Leu Pro Glu Cys Ser Lys Leu Pro Asn AAT GTT TTA CAG GAA AAA ATA TGT GCT ATG GAG GGG CTG CCA CAA AAG Asn Val Leu Gln Glu Lys Ile Cys Ala Met Glu Gly Leu Pro Gln Lys CAT AAT TTC TCA CAC TGC TGC AGT AAG GTT GAT GCT CAA AGA AGA CTC His Asn Phe Ser His Cyc Cyc Ser Lys Val Asp Ala Gln Arg Arg Leu 90 95 TGT TTC TTC TAT AAC AAG AAA TCT GAT GTG GGA TTT CTG CCT CCT TTC Cys Phe Phe Tyr Asn Lys Lys Ser Asp Val Gly Phe Leu Pro Pro Phe 105 CCT ACC CTG GAT CCC GAA GAG AAA TGC CAG GCT TAT GAA AGT AAC AGA Pro Thr Leu Amp Pro Glu Glu Lys Cys Gln Ala Tyr Glu Ser Amn Arg 125 120 GAA TCC CTT TTA AAT CAC TTT TTA TAT GAA GTT GCC AGA AGG AAC CCA Glu Ser Lou Leu Ann His Phe Leu Tyr Glu Val Ala Arg Arg Ann Pro 145 140 TIT GTC TTC GCC CCT ACA CTT CTA ACT GTT GCT GTT CAT TTT GAG GAG

### SUBSTITUTE SHEET (RULE 26)

# 7/8 FIG. 4B

					. · I	1	), —	rU							
Phe	Val	Phe	Ala	Pro 155	Thr	Leu	Leu	Thr	Val 160	Ala	Val	His	Phe	Glu 165	Glu
GTG	GCC	AAA	TCA	TGT	TGT	GAA	GAA	CAA	AAC	AAA	GTC	AAC	TGC	CTT	CAA
Val	Ala	Lys	Ser 170	Cys	Cys	Glu	Glu	Gln 175	Asn	Lys	Val	Asn	Cys 180	Leu	Gln
ACA	AGG	GCA	ATA	CCT	GTC	ACA	CAA	TAT	TTA	AAA	GCA	TTT	TCT	TCT	TAT
Thr	Arg	Ala 185		Pro	Val	Thr	Gln 190	Туг	Fen	Lys	Ala	Phe 195	Ser	Ser	Tyr
CAA	AAA	CAT	GTC	TGT	GGG	GCA	CTT	TTG	AAA	TTT	GGA	ACC	AAA	GTT	GTA
Gln	Lys 200	His	Val	Cys	Gly	Ala 205	Leu	Leu	Lys	Phe	Gly 210	Thr	Lys	Val	Val
CAC	TTT	ATA	TAT	ATT	GCG	ATA	CTC	AGT	CAA	AAA	TTC	ccc	AAG	ATT	GAA
His 215	Phe	Ile	Tyr	Ile	Ala 220	Ile	Leu	Ser	Gln	Lys 225	Phe	Pro	Lys	Ile	Glu 230
TTT	AAG	GAG	CTT	ATT	TCT	CTT	GTA	GAA	GAT	GTT	TCT	TCC	AAC	TAT	gat
Pho	ГÀВ	Glu	Lau	110 235	Sar	Lou	Avī	Glu	240	Val	Ser	Ser	Asn	Tyr 245	Asp
					gat										
GJA	CA8	Cys	Glu 250	Gly	<b>Q</b> BA	Val	Val	Gln 255	Cys	Ile	yrg	Asp	The 260	Sgr	<b>Ly</b> B
					TGT										
Val	Met	Asn 265	His	Ile	Сув	Ser	Lys 270	Gln	<b>Asp</b>	Ser	Ile	Ser 275	Ser	Lys	Ile
					AAG										
Lys	Glu 280	Суз	Сув	Glu	Lys	Lys 285	Ile	Pro	Glu	Arg	Gly 290	Gln	Cys	Ile	Ile
					gat										
Asn 295	Sge	Asn	Lys	Asp	984 006	Y26	5.co	Lys	qea	∟લ્ય 305	Ser	Leu	Arg	Glu	Gly 310
					GAA										
Lys	Phe	Thr	Asp	Ser 315	Glu	AGR	Val	СХB	Gln 320	Glu	Arg	Asp	Ale	Asp 325	PFO.
					AAG										
Asp	aat	Pric	330 330	Ala	Lys	is in c	The	235	Glu	Tyr	Ser	Arg	340 340	His	Pro
GAC															
qea	Leu	Ser 345	Ile	) Pro	Glu	Lou	Leu 350	Arg	Ile	Val	Gln	11e 355	Tyr	<b>Ly</b> 0	Двр
CTC															
Lou	160	Arg	AGR	<b>C</b> Y3	<b>C</b> A0	265	Mr	Glu	Asn	Pro	Pe 370	GJA	<b>C</b> A8	Tyr	Arg
TAC															
Туг 375	Ale	Glu	Asp	Lys	Phe 380	Asn	Glu	Thr	Thr	Glu 385	Lys	Ser	rea	<b>Ly</b> D	Met 390

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# 8/8 FIG. 4C

GTA CAA CAA GAA TGT AAA CAT TTC CAG AAT TTG GGG AAG GAT GGT TTG Val Gln Glu Cys Lys His Phe Gln Asn Leu Gly Lys Asp Gly Leu 395 400 AAA TAC CAT TAC CTC ATC AGG CTC ACG AAG ATA GCT CCC CAA CTC TCC Lys Tyr His Tyr Leu Ile Arg Leu Thr Lys Ile Ala Pro Gln Leu Ser ACT GAA GAA CTG GTG TCT CTT GGC GAG AAA ATG GTG ACA GCT TTC ACT Thr Glu Glu Leu Val Ser Leu Gly Glu Lys Met Val Thr Ala Phe Thr 425 ACT TGC TGT ACG CTA AGT GAA GAG TTT GCC TGT GTT GAT AAT TTG GCA Thr Cys Cys Thr Leu Ser Glu Glu Phe Ala Cys Val Asp Asn Leu Ala 440 445 GAT TTA GTT TTT GGA GAG TTA TGT GGA GTA AAT GAA AAT CGA ACT ATC Asp Leu Val Phe Gly Glu Leu Cys Gly Val Asn Glu Asn Arg Thr Ile 455 AAC CCT GCT GTG GAC CAC TGC TGT AAA ACA AAC TTT GCC TTC AGA AGG Asn Pro Ala Val Asp His Cys Cys Lys Thr Asn Phe Ala Phe Arg Arg 475 CCC TGC TTT GAG AGT TTG AAA GCT GAT AAA ACA TAT GTG CCT CCA CCT Pro Cys Phe Glu Ser Leu Lys Ala Asp Lys Thr Tyr Val Pro Pro Pro 490 495 TTC TCT CAA GAT TTA TTT ACC TTT CAC GCA GAC ATG TGT CAA TCT CAG Phe Ser Gln Asp Leu Phe Thr Phe His Ala Asp Met Cys Gln Ser Gln AAT GAG GAG CTT CAG AGG AAG ACA GAC AGG TTT CTT GTC AAC TTA GTG Asn Glu Glu Leu Gln Arg Lys Thr Asp Arg Phe Leu Val Asn Leu Val 525 AAG CTG AAG CAT GAA CTC ACA GAT GAA GAG CTG CAG TCT TTG TTT ACA Lys Leu Lys His Glu Leu Thr Asp Glu Glu Leu Gln Ser Leu Phe Thr 540 AAT TTC GCA AAT GTA GTG GAT AAG TGC TGC AAA GCA GAG AGT CCT GAA Asn Pho Ala Asn Val Val Asp Lys Cys Cys Lys Ala Glu Sor Pro Glu 560 GTC TGC TTT AAT GAA GAG AGT CCA AAA ATT GGC AAC TGAAGCCAGC

TGCTGGAGAT ATGTAAAGAA AAAAGCACCA AAGGGAAGGC TTCCTATCTG TGTGGTGATG

AATCGCATTT CCTGAGAACA AAATAAAAGG ATTTTTCTGT AACTGTCACC TGAAATAATA

CATTGCAGCA AGCAATAAAC ACAACATTTT GTAAAGTTAA AAA

Val Cys Phe Asn Glu Glu Ser Pro Lys Ile Gly Asn

Inter ani Aprilication No

PCT/US 94/11675

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 C12N15/85 A01K67/027

C12N15/12 C12N15/24 C12N15/00 C12N5/10 C12N1/00

According to Interactional Potent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation courted (classification system followed by classification symbols)

IPC 6 A01K C07K C12N

Documentation searched other then minimum documentation to the estent that such documents are included in the field searched

Electronic data have coordinal during the international courts (name of data have und, where precions, search terms used)

C.	DOCUMENTS	CONSIDERED	TO	BE	RELEVANT	
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Company '	City sen of decreases, with indication, where appropriate, of the relevant parties	Relevent to claim No.
x	JOURNAL OF BIOLOGICAL CHEMISTRY. (MICROFILMS), vol.268, no.11, 15 April 1993, BALTIMORE, MD US pages 8221 - 8229	1-3, 10-12, 19-22, 26-29
•	SIMONET, H.S. ET AL. 'A far-downstream hepatocyte-specific control region directs expression of the linked human apolipoprotein E and C-I genes in transgenic Dice'	
	cited in the application	
Y	see the whole document	4-9, 13-18, 23-29
	-/	
	·	

	Persons documents are bissed in the consideration of bott C.	ı	

Provent feerily countries one listed in consum.

#### \* Special enterportes of ethal forcements:

- "A", <u>कंत्रसकत्त्रा के किसंस्था</u>त कि विकास किया को किस को प्रशिक्ष in हका क्यानिकार्य के किस की हमार्थक्यान सर्वेशस्त्रकर
- E. cospin communi par bayirgaq es et open gas pasamanany स्क्रिकेटी क्यूका
- ්ට් එකෙන සහ පවත්ව කාල මාගේ එකුම්ය පා ඉන්නේල් එක්කරු ප සමාවා ක පොස් වා පෞතිවේ මහ ඉන්න්නේක එක වේ පහමන ජෙන්න හැ මෙන කුතුන්ට පොහා (පා දානේනම්)
- 'O' document referring to an eral discherers, was, exhibition or COST BROWN
  - document published prior to the intermedianal filling date but later than the priority date circums
- "I lear document published after the intermedianal filling data or priority data and epst in another trib the application but also to endowind the priority's or theory underlying the interior
- भारता का कामकांत्रर एक प्रकृत हुए शुक्कात्रम के प्रकृत विकास प्रकृति के काम्युक्त काम्यु श काम्युक्त के काम्युक्त के प्रकृति के काम्युक्त काम्युक्त काम्युक्त के विकास काम्युक्त
- "A" comment exember of the most primat femally

Date of the natural completion of the interpretional courch

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## 3 February 1995

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